



**DRINKING WATER  
REVOLVING LOAN FUND**

A PROGRAM OF THE DEPARTMENT OF HEALTH AND HOSPITALS

*Annual*  
**REPORT**

State Fiscal Year

**2015**

July 1, 2014 to June 30, 2015

The State of Louisiana Department  
of Health and Hospitals



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## MISSION AND GOAL

Every day, Louisiana citizens consume drinking water supplied by more than 1,300 public water systems. Operators and managers work tirelessly to ensure the safety of their product and reliability of their service at affordable prices. The Louisiana Drinking Water Revolving Loan Fund is committed to assisting them by funding infrastructure projects necessary to provide a safe and secure supply of drinking water to ensure the public health of every community.

The State of Louisiana's Department of Health and Hospitals administers the Drinking Water Revolving Loan Fund (DWRLF). Since 1997, the DWRLF has been providing assistance in the form of low-interest loans for construction of eligible infrastructure projects and technical assistance to public water systems in Louisiana.

Low-interest loans combined with assistance through the set-asides provide a comprehensive approach to assisting Louisiana public water systems.

## DWRLF Program Contractors

The Louisiana DWRLF utilizes a combination of personnel and contractors to accomplish the activities associated with the Loan Fund and the set asides. Each year, we assess the program and make decisions regarding the need for staff and contractors toward accomplishing the goals. We include these needs in the Intended Use Plan which we submit to EPA as a part of the application process.

### **Louisiana Rural Water Association (LRWA) & Thornton, Musso, Bellemin, Inc.**

Onsite technical assistance providers to small water systems throughout the state and also provide management training to decision makers of any size water systems in the state.

### **Whitney Bank**

Whitney Bank prepares and mails monthly invoices for interest and principal payments due to the DWRLF from loan recipients.

### **Julie LeBlanc, P.E.**

Julie is a professional engineer that assists the program with the Area-Wide Optimization Program (AWOP).

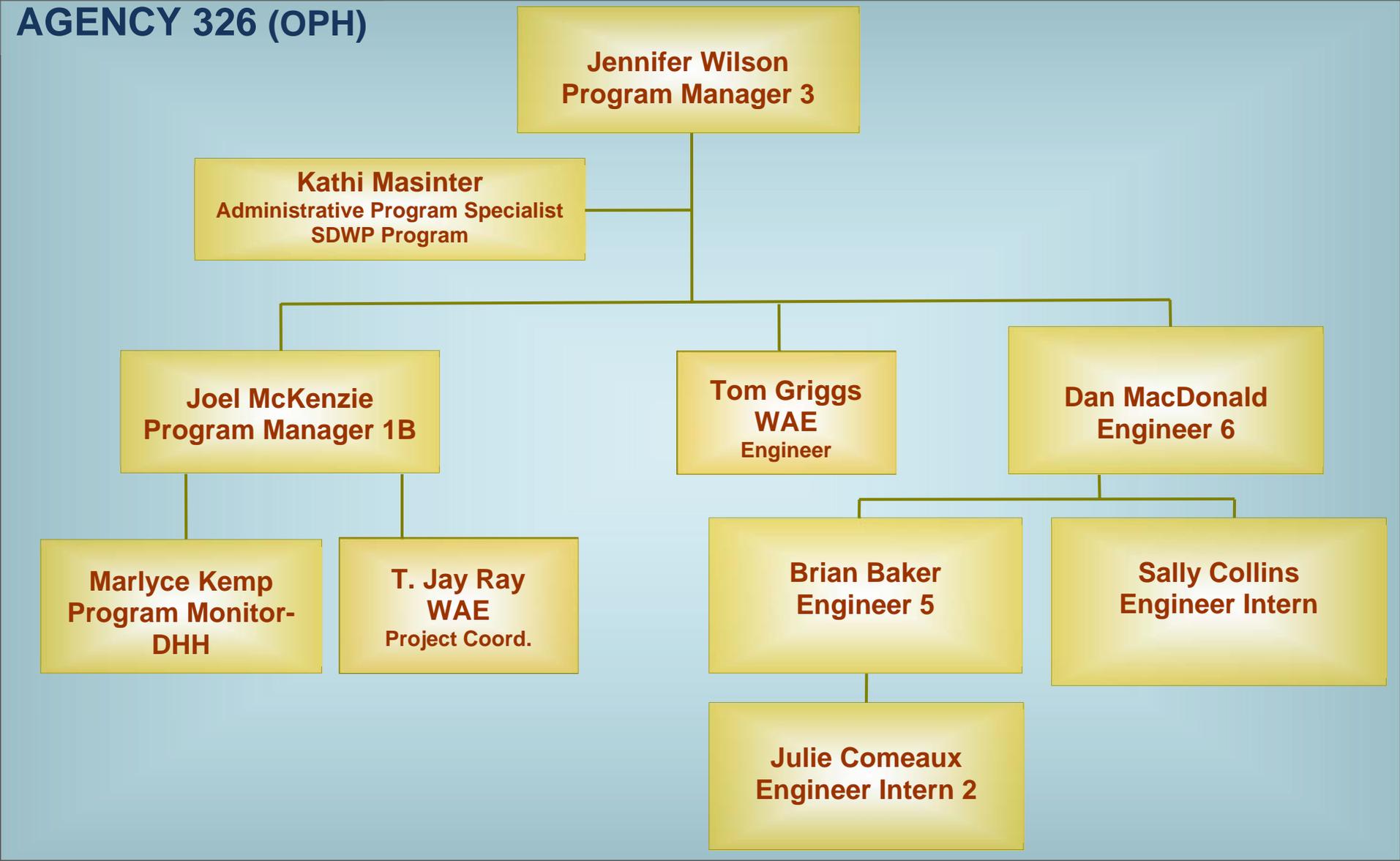
### **Public Financial Management (PFM)**

PFM serves as the financial advisor to the DWRLF.

### **Adams & Reese, LLP**

Adams and Reese, LLP provide legal counsel to the program in the areas of closing loans, state match bond sales, and other general legal issues.

**Drinking Water Revolving Loan Fund Organizational Chart**



## Staff Biographies



**JENNIFER WILSON**  
*Manager*

Jennifer Wilson assumed the role of Manager of the Drinking Water Revolving Loan Fund Program in the Louisiana Department of Health and Hospitals, Office of Public Health shortly after Jay Ray's retirement in early 2011. Prior to this she was the Loan Coordinator since 2001. She previously served as Capacity Coordinator and Auditor for the program beginning in 1998 and for a short period prior to that was a loan analyst in the Department of Environmental Quality's Clean Water Revolving Loan Fund Program.

Before joining the DWRLF Program, Ms. Wilson began her professional career working as staff accountant and field auditor for various CPA firms in the Jeff Davis Parish area. She subsequently worked for the City of Jennings, Louisiana - first as internal auditor, then as City Clerk, after which she was elected Mayor. Once her term ended, Jennifer worked as a Nursing Home Administrator until she purchased a day care center in Baton Rouge. She owned and operated this business for six years prior to working for the State.

Jennifer earned her Bachelor of Science degree in Accounting from McNeese State University, Lake Charles, Louisiana, in 1980. She is also a notary public, commissioned in Lafayette Parish.



**JOEL MCKENZIE**  
*Loan Coordinator*

Joel McKenzie has been with for the Drinking Water Revolving Loan Fund (DWRLF) Program for twelve years. Mr. McKenzie received a Bachelor of Science Degree in Accounting from Southeastern Louisiana University (SLU) in Hammond, Louisiana. He began his career with the Program as an Accounting Specialist in 2003 before working his way up as Auditor 3, Program Monitor (Capacity Coordinator), and now serves as Program Manager 1-B (Loan Coordinator). Mr. McKenzie enjoys serving the citizens of Louisiana and looks forward to ensuring the success of the Program into the future.



**Dan MacDonald, P.E., M.B.A.**  
*DWRLF Program Engineer*

Dan MacDonald, P.E., M.B.A. has been an Engineer with the Office of Public Health's Safe Drinking Water Program for over 12 years. He joined the Drinking Water Revolving Loan Fund (DWRLF) staff as a Project Engineer in June 2005. Before joining DWRLF, Mr. MacDonald served as the Technical Program Manager/Engineer for the Safe Drinking Water Program's (SDWP) Engineering Services Section of the Louisiana Department of Health and Hospitals/Office of Public Health/Center for Environmental Health Services (LDHH/OPH/CEHS). While working for

LDHH/OPH/CEHS – Engineering Services, Mr. MacDonald served as the Consumer Confidence Report (CCR) program manager and Area-Wide Optimization Program (AWOP) Manager. Besides his contributions to both DWRLF and Engineering Services, Mr. MacDonald also previously served as the Member Representative on the Louisiana Geographic Information Systems Council (LGISC) representing LDHH/OPH/CEHS from 2004 to 2009 and continues to serve as the Disadvantaged Business Enterprise (DBE) Coordinator for DWRLF.

Prior to 2003, Mr. MacDonald had an extensive career in the private sector as a Consultant Engineer for Environmental and Civil Engineering firms in Louisiana and Virginia. His consultant engineering work included wastewater treatment system and industrial plant fueling facility designs; Environmental Assessments (EAs); Underground/Aboveground Storage Tank (UST/AST) installation, removal, and remediation; lead/asbestos abatement; environmental risk-evaluation and corrective action plans; monitoring well, recovery well, and remediation system installations, and groundwater/soil remediation projects.

Mr. MacDonald was born and raised in Baton Rouge, Louisiana and is a graduate of Baton Rouge Magnet High School. In May of 1998, he received his Bachelor of Science degree from Louisiana State University (LSU) in Environmental Engineering. In January 2004, Mr. MacDonald became a licensed Professional Engineer registered in the State of Louisiana, as well as a member of the American Society of Civil Engineering, the Louisiana Engineering Society, and the Water Environment Federation. In August of 2009, Mr. MacDonald received his Masters in Business Administration at LSU's E.J. Ourso College of Business.

Currently, Mr. MacDonald serves as the DWRLF Program Engineer and has the pleasure of managing a staff of highly talented and experienced Project Engineers. At the same time,

he conducts reviews of System Improvement Plans along with various related NEPA environmental documents, Engineering Agreements, Bid/Contract Documents, and Water and Wastewater System Plans and Specifications. He also assists with the management of LDHH's AWOP, performs on-site construction inspections, and assists with the implementation of DWRLF's Capacity Development program and Sanitary Survey initiative.



*Brian Baker P.E.  
Project Engineer*

Brian Baker, has 20 years of engineering experience with different agencies of the State of Louisiana. The first 13 years he served as a project engineer for the LDEQ in the Clean Water State Revolving Fund Program (CWSRF), before joining the DWRLF program he worked for two years at the Louisiana Department of Transportation as the Baton Rouge Districts Water Resources Engineer.

Presently, he serves as one of the Louisiana Department of Health and Hospitals (DHH), Office of Public Health (OPH), Project Engineers with the Drinking Water Revolving Loan Fund (DWRLF) Program. Brian has worked in this capacity for approximately 5 years, along with his DWRLF duties as a project engineer he assists with the implementation of the DWRLF's Capacity Development program and Sanitary Survey initiative.

In December of 1993, Mr. Baker received his Bachelor of Science from Louisiana State University in Civil Engineering. He earned his Professional Engineering license in January 2001.



*Sally Collins*  
*Engineer Intern*

Sally Collins has been with the Drinking Water Revolving Loan Fund for approximately 7 months. Prior to joining DWRLF, Sally worked in Department of Health and Hospitals, Office of Public Health, Engineering Services for 4 years as Stage 2 Disinfection Byproducts Rule Manager. She also managed the Polymer Certification of the Phase 2 Rule, gave presentations at Louisiana Rural Water Conferences and trainings and reviewed initial public drinking water well Phase 2/5 lab results for compliance.

Sally worked in Arizona as Product and Market Development Engineer for a chemical company in the research, development and manufacture of materials for the semiconductor and coatings industries. She created exhibits for Nepcon, SPIE, IPC, Diskon, BACUS trade shows. She served as the ISO 9000 Assessor and developed presentations for Japanese parent company technical contacts.

Sally has worked as the Senior Propellant Engineer in the design, development and production of ordnance, electronics and laser components for the aerospace, defense and commercial industries. Sally worked in Texas as an Environmental Specialist and projects included EPA waste generator facility registration, TWC solid waste notification, waste characterization and classification including sampling, data analysis, regulatory liaison and land disposal restrictions applicability, NPDES, Part A and Part B permit applications, General Land Office Oil Spill Response Plans, development of RCRA environmental sampling, analysis, waste minimization plan and SARA Title III reporting.

Currently Sally serves as the DWRLF Capacity Development Engineer assessing water systems for technical, financial and managerial capacity.

This includes traveling to 20 or more existing water systems state-wide per quarter to conduct Capacity Development Assessments.

Sally received a Bachelor of Science Degree in Chemical Engineering from McNeese State University in 1983. She is a registered Engineering Intern with the Louisiana Professional Engineering and Land Surveying Board.



*Julie Comeaux*  
*Project Engineer*

Julie has been with the Drinking Water Revolving Loan Fund (DWRLF) Program as a Project Engineer since 2002. She has been the state coordinator for the 2003, 2007, 2011 and 2015 Drinking Water Infrastructure Needs Survey. Julie oversees several projects using DWRLF monies and works to bring systems throughout the state into compliance with State and Federal regulations through the Capacity Development Program.

Before joining the DWRLF Program, Julie worked as a bookkeeper for 20 years. A mid-life evaluation prompted her to return to college to obtain a degree that would allow her to begin a new career.

Julie received a Bachelor of Science Degree in Civil and Environmental Engineering in December 2001 from Louisiana State University at Baton Rouge. The majority of her course study dealt with Environmental Engineering. She is a registered Engineering Intern with the Louisiana Professional Engineering and Land Surveying Board.



*Marlyce Kemp  
Program Monitor*

Marlyce has been a State of Louisiana employee for 18 years. Marlyce Kemp currently serves as the Louisiana Department of Health and Hospitals (DHH), Office of Public Health (OPH), Program Monitor of the Drinking Water Revolving Loan Fund (DWRLF) Program. She has been with the DWRLF for approximately 3 years. She processes DWRLF payment requests from water systems, reviews financial documents for compliance, and provides financial support where needed.

Prior to joining DWRLF, Marlyce worked in Department of Health and Hospitals, Financial Management Section for 5 years where she assisted with the preparation, development, and submission of the Annual Medicaid budget. Marlyce received her Bachelor of Science degree in Accounting from Southern University A&M in Baton Rouge, LA. She aspires to continue her education by obtaining her Master's in Business Administration.



*Kathi Masinter  
Administrative Program Specialist*

Kathi Masinter began working for the Louisiana Office of Public Health Safe Drinking Water Program in July 2002. Ms. Masinter presently serves as the Administrative Program Specialist whose responsibilities include managing financial obligations within the Engineering Services Section, including Safe Drinking Water Grants, Contracts, Budget and Fee Collection.

Prior to coming to the Louisiana Safe Drinking Water Program, Ms. Masinter served as a banking officer for fifteen years with JP Morgan Chase, formerly Louisiana National Bank, which merged into Premier Bank and Bank One. Within the Correspondent Banking Department, Ms. Masinter worked with smaller banks in Louisiana by handling their daily cash position investing excess monies in federal funds.



*T. JAY RAY,  
Project Coordinator*

T. Jay Ray retired as Manager of the Drinking Water Revolving Loan Fund (DWRLF) Program in January 2011. He returned in May to work part time as a Project Coordinator in the DWRLF Program.

Mr. Ray was previously employed as an Environmental Scientist assigned to the Public Water Supply Section in the U.S. EPA Region 6, Dallas Texas. Mr. Ray worked with the States of Louisiana and Oklahoma in the implementation of the Public Water Supply Supervision Program and served as the DWSRF Coordinator assisting all of the Region 6 states in the establishment and implementation of the DWSRF. From 1986 to 1996, T. Jay Ray served as the Administrator of the Louisiana Safe Drinking Water Program. He also worked ten years in the Kansas City and Dallas EPA Regional Offices. Prior to employment with the EPA, T. Jay was Chief Chemist in charge of treatment for a 50 MGD surface water treatment facility in Lubbock, Texas.

He is also certified by EPA as a Microbiological Laboratory Certification Officer. Jay is a member of the American Water Works Association (AWWA), the Louisiana Public Health Association (LPHA) and the Louisiana Environmental Health

Association (LEHA). Jay Ray has an M.S. Degree in Microbiology from Texas Tech. He has also attended Texas A&M University Short Courses and obtained 150 hours of Water Utilities Training, which enabled him to become a certified water and wastewater operator in the state of Texas.



**TOM GRIGGS**  
*Engineer*

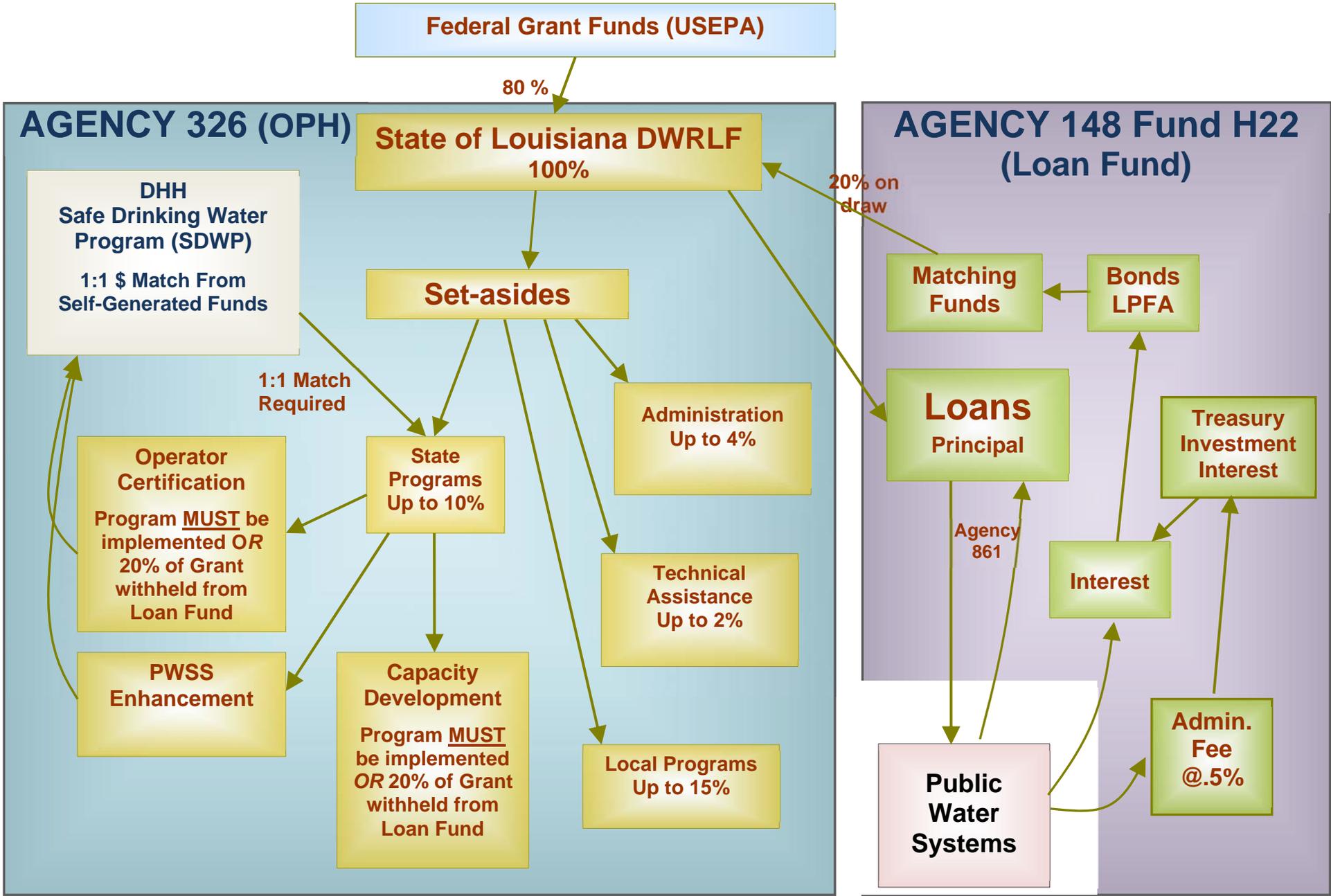
Tom Griggs currently serves in a part-time capacity in the Louisiana Department of Health and Hospitals (DHH), Office of Public Health (OPH), the Drinking Water Revolving Loan Fund (DWRLF) Program. Tom performs special projects and tasks as requested by the DWRLF program management. He is currently involved in a reorganization of the DWRLF project filing system and the conversion of the existing files to the new system.

Tom Griggs began his public service career in 1977 with the Department of Health and Human Resources (DHHR), the predecessor agency to the current DHH, where he worked in the Construction Grants program, a program to provide federal assistance to municipalities for wastewater infrastructure projects. Tom later

transferred from DHHR to the Department of Natural Resources, and eventually to the Department of Environmental Quality, all while continuing to work in the Construction Grants program. When the Construction Grants program was phased out and replaced with the Clean Water State Revolving Fund (CWSRF) program, Tom made the transition to the new program and became the manager of the Engineering section.

When the DWRLF program was authorized by Congress in 1996, Tom Griggs assisted the DHH staff with developing policies, procedures, guidance documents and regulations; and in conducting workshops for potential borrowers and consulting engineers to get the new program operating as quickly as possible.

Tom served a four year term on the State-EPA Workgroup, in which both state and EPA members work together to resolve problems and develop policies for both the DWRLF and CWSRF programs. Tom retired from active state service in 2010 with 33 years of service, and began assisting the DWRLF program in 2011. Tom has a B.S. degree in mechanical engineering and is a registered engineer in both mechanical and environmental engineering.



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## INTRODUCTION

The Louisiana Department of Health and Hospitals, Office of Public Health (DHH-OPH) in compliance with the Environmental Protection Agency (the “EPA”) rules and regulations and federal grant requirements, herewith submit this Annual Report for the State’s fiscal year ending June 30, 2015 (reporting period July 1, 2014 through June 30, 2015 or SFY15). This report describes how the State of Louisiana has met the goals and objectives identified in its 2015 Intended Use Plan (IUP), work plans, and grant agreements.

The Drinking Water Revolving Loan Fund (DWRLF) Program, within the Louisiana Department of Health and Hospitals (DHH), Office of Public Health (OPH) is responsible for the operations of the program in the State of Louisiana. DHH-OPH provides assistance to public water systems in many forms, which will be further described in this report.

This report consists of three main sections. The *Executive Summary* section provides an overview of the DWRLF program and the SFY 2015 activities. The next section addresses the *Goals and Objectives* the State of Louisiana identified in its 2015 Intended Use Plan and the steps that have been taken to meet these measures. The *Details of Activities* section provides information on the financial status of the program, the financial assistance provided during SFY 2015, and compliance with the EPA grant and operating agreement conditions.

The Annual Report is followed by a brief history of the DWRLF program and the DWRLF *Loan Portfolio*. The loan portfolio provides information on the interest rates, loan amounts, projects, and loan terms. The Exhibits follow the *Loan Portfolio* and are self-explanatory.

## EXECUTIVE SUMMARY

The Louisiana DWRLF Program was awarded one Environmental Protection Agency (EPA) capitalization grant during this reporting period. The amount of grant #FS99696817-0 awarded on August 12, 2014 was \$12,127,000. All federal funds are matched by the State of Louisiana with a letter of credit like instrument consisting of authorization of the State Bond Commission to issue Revenue Bonds. In compliance with Federal guidelines, as Louisiana draws federal funds into the State Drinking Water Revolving Loan Fund (DWRLF), the appropriate amount of State Match is simultaneously deposited into the DWRLF. During SFY 2015, \$2,433,660 of state match was deposited into the fund.

### DWRLF Loans

Thirteen binding commitments totaling \$37,295,000 were executed during this reporting period. A breakdown of the binding commitments made during SFY 2015 is detailed in Exhibit I. On a cumulative basis, (excluding expired binding commitments) the DWRLF has obligated a total of 110 binding commitments with face values totaling \$316,419,420. A brief description of each project associated with the executed commitments can be found in the loan portfolio section. Thirteen loans totaling \$37,295,000 were awarded during the reporting period. Exhibit II depicts the Needs Categories and the loans closed this reporting period. Loan disbursements of \$13,629,335 were made to the various recipients during this fiscal year. See Exhibit III for a breakdown of loan disbursements during SFY 2015.

Since the program was initiated in SFY 1999, 137 loans have been awarded. The projects associated with 101 loans are fully constructed or complete and in operation. Four projects initiated operations this past year: City of Morgan City, City of Thibodeaux, City of Mansfield-Loan 1, and Mount Hermon Water District.

The DWRLF program forms are updated as necessary by staff. These forms are designed to satisfy two goals: (1) to assure compliance with the Federal guidelines and (2) to expedite the submission and review process of the program. The loan application incorporates the Capacity Development Business Plan Package for managerial and financial capacity. The Safe Drinking Water Act requires that a public water system applying for a Drinking Water Revolving Loan Fund loan must demonstrate that it has the financial, managerial and technical capacity to operate its system in full compliance with the Act. The System Improvement Plan serves as compliance for the technical portion of the Capacity review for loan applicants. All DWRLF forms are available upon request from program staff.

### **Assistance to Small Systems**

A requirement of the 40 CFR 35.3525 (a) (5) is to use at least 15% of the amount available for assistance from the fund to provide assistance to communities with populations less than 10,000, to the extent such funds can be obligated for eligible projects. In SFY 2015 nine of the binding commitments were made to small systems serving fewer than 10,000. However, since the inception of the program \$195,091,000 (38 percent of the amount available for assistance from the fund) of the binding commitments (excluding expired binding commitments) was made to systems serving less than 10,000. (See Table 1 and Figure 1.) Figure 2 depicts the binding commitments awarded to small systems annually in SFY 2000 through 2015.

### **Assistance to Privately Owned Systems**

Louisiana has loaned funds to both governmentally owned and privately owned water systems. Figure 3 depicts those systems receiving loan awards which are privately owned.

Table 1

PROJECTS SERVING UNDER 10,000	POPULATION	Binding Com. Award	Percentage of DWRLF Funds	PROJECTS SERVING OVER 10,000	POPULATION	Binding Com. Award	Percentage of DWRLF Funds	
Town of Church Point	1	6,000	\$2,500,000	Ward 2, Water Dist., Livingston Parish #1	1	18,000	\$9,000,000	
City of Oakdale	1	6,832	\$1,500,000	Shreveport #1	1	201,568	\$7,000,000	
Town of Mary #1	1	3,600	\$1,000,000	Shreveport #2		201,568	\$7,000,000	
Town of Mary #2		3,600	\$1,100,000	Shreveport #3		201,568	\$5,540,000	
Town of Mary #3		3,600	\$1,500,000	Natchitoches	1	17,141	\$3,500,000	
Town of Baldwin	1	2,400	\$1,250,000	Lafayette Parish WW District North	1	12,000	\$2,800,000	
West Winnsboro	1	1,854	\$747,100	Ascension Water Co.	1	36,500	\$6,000,000	
Village of Quitman	1	246	\$480,000	New Iberia-LAWCO	1	48,000	\$6,000,000	
DeSoto Parish WWD #1	1	5,000	\$2,350,000	Ward 2, Water Dist., Livingston Parish #2		18,000	\$6,000,000	
Colyell Comm. WS	1	2,250	\$948,600	Lafayette Parish WW District North #2		12,000	\$0	
Culbertson WS, Inc.	1	2,640	\$669,000	Monroe	1	73,250	\$3,000,000	
Westlake, City of	1	6,000	\$3,750,000	Springhill	1	10,300	\$7,500,000	
French Settlement	1	2,134	\$1,000,000	Ascension Water Co. #2		36,500	\$5,000,000	
Savoy Swords	1	7,840	\$1,000,000	New Iberia-LAWCO #2		48,000	\$3,500,000	
Town of Slaughter	1	1,568	\$1,355,000	Buckeye #1	1	12,407	\$500,000	
Town of Slaughter #2		1568	\$842,400	City of Ruston #1A & B	1	20,667	\$4,000,000	
Town of Slaughter #3		1568	\$157,600	Buckeye #2		12,407	\$1,142,000	
Point Wilhite	1	2,200	\$925,000	Shreveport #4 A & B	1	201,568	\$11,000,000	
Gardner	1	4,155	\$1,246,000	Morgan City Series A, B, W	1	11,732	\$4,000,000	
Fifth Ward Water System		3,870	\$0	City of Bogalusa -1A & B	1	13,365	\$5,000,000	
West Winnsboro #2		1,854	\$500,000	City of Baker - 1A	1	15,891	\$4,200,000	
Town of Franklin	1	8,354	\$2,400,000	City of Alexandria - 1A & B	1	60,000	\$4,390,000	
Rapides Island		5,838	\$0	United Water System, Inc. #2A & B		12,039	\$952,000	
United Water System, Inc.	1	4,880	\$400,000	Town of Blanchard Loan 1A & B	1	12,000	\$3,657,000	
Colyell Comm. WS Loan 2		2,640	\$900,000	City of Thibodaux 1A & B	1	14,431	\$6,400,000	
Natchitoches WWD #2 Loan 1	1	7,300	\$3,500,000	Town of Walker 1A & B	1	12,039	\$520,000	
Natchitoches WWD #2 Loan 2		7,300	\$1,003,000	City of Natchitoches 2A & B		17,200	\$5,000,000	
Calcasieu WWD #8 1, 2, & 3	1	9,028	\$850,000	New Orleans Sewerage & Water Board 1A & B	1	302,191	\$3,400,000	
Iberville Parish WWD #2-Loan 1A & B	1	6,726	\$3,250,000	Ward 2, Loan #3		18,000	\$4,000,000	
Savoy Swords Water System, Inc. #2A & B		9,450	\$886,000	Consolidated WWD#1 of Terrebonne	1	75,000	\$1,900,000	
Town of Pollock - 1A & B	1	2,500	\$530,000	Ward 2, Loan #4		18,000	\$8,000,000	
City of Westlake Loan 2A & B		4,573	\$2,900,000	City of Alexandria - 2		60,000	\$7,610,000	
East Allen Parish WWD 1A & B	1	3,900	\$1,285,000	City of Blanchard #2		12,000	\$8,400,000	
Southwest Allen Parish WWD2-1A & B	1	7,500	\$995,000	City of Blanchard #3		12,000	\$4,930,000	
Kolin Ruby Wise Water District No. 11-1A & B	1	4,100	\$550,000	Beauregard WWD #3		17,866	\$3,000,000	
DeSoto Parish WWD #1 Loan 2A & B		5,985	\$2,360,000	St. John the Baptist	1	45,924	\$5,500,000	
City of Ville Platte Loan 1A & B	1	9,310	\$4,050,000	Consolidated WWD#1 of Jefferson	1	432,552	\$3,550,000	
Bayou Des Cannes Water System, Inc. #1A & B	1	6,050	\$2,222,520	Consolidated WWD#2, Terrebonne Parish	1	111,860	\$4,200,000	
ACUD#1A & B	1	2,500	\$1,000,000	City of Natchitoches 3	1	30000	\$2,000,000	
City of Mansfield 1A & B	1	7,083	\$4,120,000	Rapides WWD #3	1	16,410	\$5,000,000	
Town of Delhi	1	4,071	\$7,500,000	Saint Bernard Parish	1	43,482	\$11,000,000	
City of Mansfield 2		7,083	\$1,550,000					
Avoyelles	1	2,550	\$1,550,000					
Mansfield #3		7,083	\$3,280,000					
Winnfield	1	4,794	\$2,500,000					
Pearl River	1	2,506	\$1,800,000					
South Vernon	1	2,616	\$825,000					
Town of Litcher	1	3,559	\$1,570,000					
Town of Delhi #2		4,701	\$1,870,000					
Village of Loreauville	1	1,194	\$1,310,000					
City of Ville Platte Loan 2A & B		9,310	\$9,450,000					
Mount Hermon	1	1,000	\$700,000					
Town of Gramercy	1	3,700	\$1,500,000					
City of Leesville	1	6,600	\$4,800,000					
Southeast Grant Water System, Inc.	1	540	\$351,200					
WWD #12 of Ward 3 of Calcasieu Parish	1	977	\$2,000,000					
Town of New Llano	1	2,504	\$1,000,000					
Town of Olla	1	2,147	\$500,000					
North Franklin Water Works, Inc.	1	7,800	\$3,750,000					
Weston Water System, Inc.	1	1,331	\$405,000					
Village of Estherwood	1	889	\$990,000					
Village of Mementau	1	661	\$1,000,000					
French Settlement		7,227	\$1,250,000					
Town of Bernice	1	1,689	\$255,000					
Town of Homer	1	3,226	\$3,600,000					
Town of Greenwood		4,437	\$5,250,000					
Rambin-Wallace Water System, Inc.	1	1,200	\$235,000					
Sabine WWD #1		6,670	\$1,000,000					
East Central Vernon Water System, Inc.	1	5,100	\$1,515,000					
<b>Total</b>	<b>48</b>		<b>\$121,328,420</b>	<b>38.34%</b>	<b>Total</b>	<b>#</b>	<b>\$195,091,000</b>	<b>61.66%</b>
Total Binding Commitments			\$316,419,420					

Figure 1 – Binding Commitments By Population

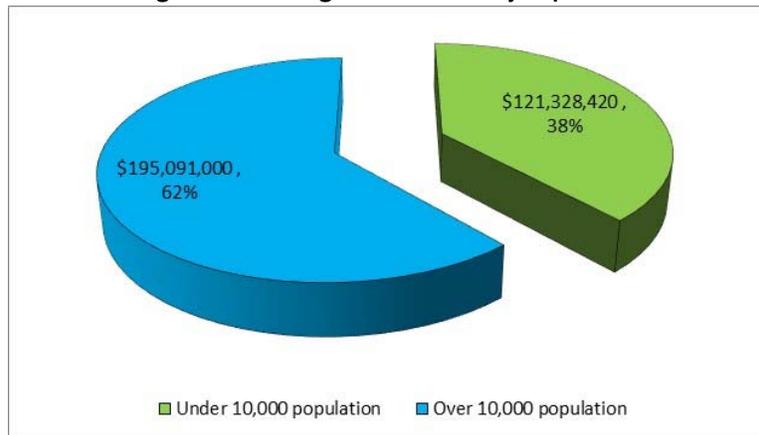


Figure 2 – Binding Commitments By Population by State Fiscal Year

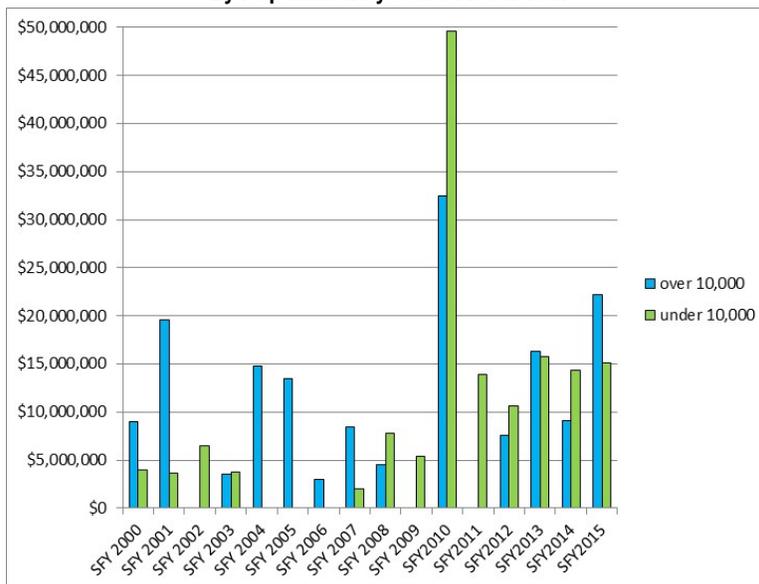
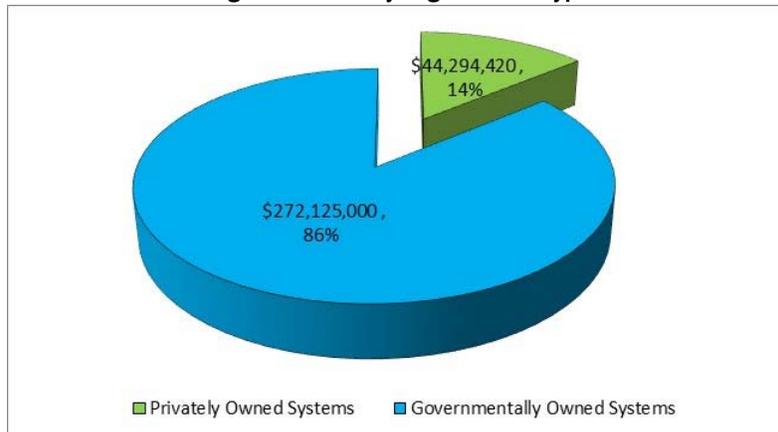


Figure 3-Loans by Organization Type



### **Loan Interest Rates**

The Secretary of the Department of Health and Hospitals is responsible for setting the interest rate for the DWRLF program. In determining the rate, the Secretary entertains recommendations from the DWRLF staff. The current rate of 2.95% interest and .5% administrative fee for a total effective annual rate of 3.45% was effective in the Spring of 2009. In determining when to make recommendations to the Secretary, the DWRLF staff members remain alert and cognizant of the market rates as well as the solvency of the fund to remain competitive and in compliance. Staff is in contact with the responsible parties of water systems on a continual basis. They inquire about the market rates that are available to water systems from all sources. Their responses have ranged from 4% to 12% with variables such as credit worthiness, corporate structure, size, existing debt, etc. as the reasons for the variations. DWRLF staff members also attend the monthly State Bond Commission meetings to glean the market rates of bond issuances for similar type projects. And finally, the DWRLF has a contractual relationship with bond attorneys and financial advisors who regularly provide advice regarding the current market rates and make recommendations therein. As a result, the rates are reviewed monthly. The recommendation to lower the rate was due to the direct impact of the ARRA funds which required no state match.

### **Set-Aside Activities**

The Safe Drinking Water Act authorizes states to set aside funding for certain non-project activities, provided that the amount of that funding does not exceed certain ceilings. Unused set-aside funds are banked for future use, where allowable, or transferred to the project loan account at the discretion of the State and with concurrence from EPA Regional Administrator. EPA issued a white paper which gives direction to states for managing the set-asides in February 1999. The term “banking” was replaced with “unspecified”. In its

An administrative fee of 0.5% is assessed on all outstanding loan balances. These fees are held outside of the federal SRF in the Administrative Fee Fund for perpetuity purposes.

### **Repayments**

The DWRLF is intended to last into perpetuity. As borrowers repay their loans, the principal repayments are then available to be loaned out to other eligible systems. When federal capitalization grants are discontinued, all loans will be made from the principal repayments of other borrowers. The administrative costs of the program will be funded from those administrative fees collected from the loans outstanding or from funds provided by DHH.

Eighty one loans are currently in repayment. Principal repayments equaled \$16,738,455.65 for SFY 2015. Exhibit IV depicts these loan principal repayments. At the close of SFY15, forty-two loans had been completely repaid.

### **Investment of Funds**

All excess cash funds are managed and invested by the State of Louisiana Treasury for the DWRLF program. Interest earnings are credited to the DWRLF accounts and the proper allocations and accruals are posted by the DHH fiscal staff.

annual intended use plan submitted with its grant application, Louisiana is required to “specify” the amount of set-aside funds it plans to spend, “unspecify” the funds it plans to use in the future, and then deposit the “unspecified” funds into the loan fund for current loan projects. Exhibit V is the most recent Set-Aside Chart detailing the amounts specified and unspecified for each set-aside from each grant to date. Louisiana utilizes the first in first out method in spending the specified set-aside funds. This means that the

oldest grant funds are spent first as activities take place and expenses are actually paid.

The set-aside activities for which the funds have been specified are explained in detail in the workplan associated with each grant award. Funds for set-aside activities cannot be drawn from the grant until these workplans are approved by the Regional EPA staff.

The workplans associated with each grant list specific activities to be accomplished in order to achieve the goal of each set-aside activity. These workplans also list deliverables and provide for outcome measures of the actual activities planned. The state is required to submit a Biennial Report describing how it has met the goals and objectives of the previous two fiscal years as listed in the Intended Use Plans. Louisiana has opted to perform this task annually. Following are the descriptions of how Louisiana has met its goals and objectives for its specified set-asides.

#### Administration

The Safe Drinking Water Act allows a state to use up to four per cent of its allotment to cover the costs of administering the program. The Act also affirms that states do not need to submit workplans for the Administration set-aside; however, Louisiana prefers to explain the activities it plans to pay for with the set-aside funds.

OPH is responsible for the administration and implementation of the DWRLF Program, which includes the loan and set-aside programs. All personnel activities are conducted under the administrative function. This includes the securing of needed positions from the State Civil Service system, advertising for the positions, interviewing, hiring, and performing all required Civil Service steps to ultimately bring personnel on board. Administrative staff members also prepare Requests for Proposals (RFPs) and review proposals for contracts that are needed to

implement the loan program and various set-aside activities.

A portion of the engineers' time is charged to the administration of the program. Most of this time is spent on engineering document review and approval that is not considered a Safe Drinking Water Program Activity.

Annual planning for individual personnel and evaluation of personnel performance are strict requirements of the State Civil Service system. Another part of the administrative function involves the securing of State Match for the program. The research required to explore various options along with the coordination of key people is critical to succeed in securing the State Match. Budget preparation for the State is accomplished under the Administrative section of the program. In addition, the Administrative staff is responsible for the promotion of the program. This involves time-consuming activities of preparing promotional items, display items, and coordinating personnel to work in the booth at various functions as described in the goals section of this report. The administrative personnel are also responsible for all correspondence from the program staff, correspondence with loan recipients, and potential loan recipients. This includes the negotiation process of determining collateral for the recipients and meetings with potential recipients to explain the process. The administrative personnel are also responsible for all correspondence with EPA, i.e. Intended Use Plan, Grant Applications, workplans, Grant Amendments, Annual Reports, Annual Reviews, Needs Survey, FFATA reporting, Drinking Water National Information Management System and the Public Benefits Reporting system. Effort is also expended in monthly meetings with DHH personnel for review of project status.

Included in this set-aside are the expenses associated with the state match activities. DHH possesses a contract with bond attorneys to secure the State Match through a bond issuance.

Details of the state match are included in Note 7 of the Notes to the financial statements.

In summary, specific activities funded from this set-aside include: salaries, benefits, travel, operating services, contractual services, and supplies.

During SFY 2015 \$449,716 was expended for administrative expenses. Table 2 depicts the current and cumulative status of the Administration Set-Aside (including accruals & payables).

Grant Year	Grant #	Reserved	Specified	Unspecified (cumulative)	Expended	Specified Amount Available
1997	FS-9969801	\$816,812	\$700,403	\$116,409	\$700,403	\$0
1998	FS-9969802	\$397,968	\$397,968	\$116,409	\$397,968	\$0
1999	FS-9969803	\$417,108	\$415,737	\$117,780	\$415,737	\$0
2000	FS-9969804	\$433,496	\$425,511	\$125,765	\$425,511	\$0
2001 & 2002	FS-9969805	\$757,392	\$0	\$883,157	\$0	\$0
2003	FS-9969806	\$320,164	\$290,000	\$913,321	\$290,000	\$0
2004	FS-9969807	\$332,124	\$400,000	\$845,445	\$400,000	\$0
2005	FS-9969808	\$331,420	\$230,000	\$946,865	\$230,000	\$0
2006	FS-9969809	\$466,344	\$0	\$1,413,209	\$0	\$0
2007	FS-9969810	\$466,360	\$0	\$1,879,569	\$0	\$0
2008	FS-9969811	\$461,600	\$500,000	\$1,841,169	\$500,000	\$0
ARRA	2F-96692001	\$1,105,040	\$315,000	\$2,631,209	\$315,000	\$0
2009	FS-9969812	\$461,600	\$600,000	\$2,492,809	\$600,000	\$0
2010	FS-9969813	\$1,025,960	\$525,000	\$2,993,769	\$525,000	\$0
2011	FS-9969814	\$711,920	\$450,000	\$3,255,689	\$450,000	\$0
2012	FS-9969815	\$678,480	\$475,000	\$3,459,169	\$265,857	\$209,143
2013	FS-9969816	\$636,560	\$520,000	\$3,575,729	\$0	\$520,000
2014	FS-9969817	\$485,080	\$515,000	\$3,545,809	\$0	\$515,000
<b>Totals</b>		\$10,305,428	\$6,759,619		\$5,515,476	\$1,244,143

### Small System Technical Assistance

The Safe Drinking Water Act allows a state to use up to two per cent of its allotment to cover the costs of providing technical assistance to small systems (systems serving under 10,000 population). During this reporting period, 261 small water systems were provided technical

assistance and/or training. This assistance is provided by DWRLF staff as well as contractors.

During SFY 2015 \$248,063 was expended for small system technical assistance expenses. Table 3 depicts the current and cumulative status of the Small System Technical Assistance Set-Aside.

Grant Year	Grant #	Reserved	Specified	Unspecified (cumulative)	Expended	Specified Amount Available
1997	FS-9969801	\$408,406	\$168,100	\$240,306	\$168,100	\$0
1998	FS-9969802	\$198,984	\$161,100	\$278,190	\$161,100	\$0
1999	FS-9969803	\$208,554	\$161,100	\$325,644	\$161,100	\$0
2000	FS-9969804	\$216,748	\$161,100	\$381,292	\$161,100	\$0
2001 & 2002	FS-9969805	\$378,696	\$0	\$759,988	\$0	\$0
2003	FS-9969806	\$160,082	\$170,000	\$750,070	\$170,000	\$0
2004	FS-9969807	\$166,062	\$170,000	\$746,132	\$170,000	\$0
2005	FS-9969808	\$165,710	\$185,000	\$726,842	\$185,000	\$0
2006	FS-9969809	\$233,172	\$236,662	\$723,352	\$236,662	\$0
2007	FS-9969810	\$233,180	\$236,662	\$719,870	\$236,662	\$0
2008	FS-9969811	\$230,800	\$200,000	\$750,670	\$200,000	\$0
ARRA	2F-96692001	\$552,520	\$0	\$1,303,190	\$0	\$0
2009	FS-9969812	\$230,800	\$0	\$1,533,990	\$0	\$0
2010	FS-9969813	\$512,980	\$100,000	\$1,946,970	\$100,000	\$0
2011	FS-9969814	\$355,960	\$100,000	\$2,202,930	\$100,000	\$0
2012	FS-9969815	\$339,240	\$125,000	\$2,417,170	\$125,000	\$0
2013	FS-9969816	\$318,280	\$250,000	\$2,485,450	\$250,000	\$0
2014	FS-9969817	\$242,540	\$250,000	\$2,477,990	\$210,193	\$39,807
<b>Totals</b>		<b>\$5,152,714</b>	<b>\$2,674,724</b>		<b>\$2,634,917</b>	<b>\$39,807</b>

**State Programs**

The Safe Drinking Water Act allows a state to use up to ten per cent of its allotment to cover the costs of administering certain programs. In its Intended Use Plan, the State of Louisiana outlined three major programs to be funded utilizing the state program set-aside funds. These programs were the Public Water Supply Supervision

Program, Operator Certification Program, and Capacity Development Program. Each of these programs and their activities for SFY 2015 are explained in detail below.

During SFY 2015 \$910,196 was expended for state program expenses. Table 4 depicts the current and cumulative status of the State Program Set-Aside.

Grant Year	Grant #	Reserved	Specified	Unspecified (cumulative)	Expended	Specified Amount Available
1997	FS-9969801	\$2,042,030	\$1,396,523	\$645,507	\$1,396,523	\$0
1998	FS-9969802	\$994,920	\$994,920	\$645,507	\$994,920	\$0
1999	FS-9969803	\$1,042,770	\$968,406	\$719,871	\$968,406	\$0
2000	FS-9969804	\$1,083,740	\$484,215	\$1,319,396	\$484,215	\$0
2001 & 2002	FS-9969805	\$1,893,480	\$0	\$3,212,876	\$0	\$0
2003	FS-9969806	\$800,410	\$840,000	\$3,173,286	\$840,000	\$0
2004	FS-9969807	\$830,310	\$900,000	\$3,103,596	\$900,000	\$0
2005	FS-9969808	\$828,550	\$750,000	\$3,182,146	\$750,000	\$0
2006	FS-9969809	\$1,165,860	\$1,275,000	\$3,073,006	\$1,275,000	\$0
2007	FS-9969810	\$1,165,900	\$0	\$4,238,906	\$0	\$0
2008	FS-9969811	\$1,154,000	\$1,300,000	\$4,092,906	\$1,300,000	\$0
2009	ARRA	\$2,762,600	\$0	\$6,855,506	0	\$0
2009	FS-9969812	\$1,154,000	\$1,300,000	\$6,709,506	\$1,300,000	\$0
2010	FS-9969813	\$2,564,900	\$1,800,000	\$7,474,406	\$1,800,000	\$0
2011	FS-9969814	\$1,779,800	\$1,600,000	\$7,654,206	\$1,600,000	\$0
2012	FS-9969815	\$1,696,200	\$1,850,000	\$7,500,406	\$1,850,000	\$0
2013	FS-9969816	\$1,591,400	\$1,775,000	\$7,316,806	\$894,204	\$880,796
2014	FS-9969817	\$1,212,700	\$1,375,000	\$7,154,506	\$0	\$1,375,000
<b>Totals</b>		<b>\$25,763,570</b>	<b>\$18,609,064</b>		<b>\$16,353,268</b>	<b>\$2,255,796</b>

**Public Water Supply Supervision Program (PWSS)**

During this fiscal year, no positions were eliminated from DWRLF, nor were any positions added. However, DWRLF did experience a change in staff regarding the Capacity Development Engineer. Sarah Warren, E.I. left the position in February 2015 and Sally Collins, E.I. (previously with the LA PWSS) took her position in March 2015 and is currently serving as the Capacity Development Engineer for DWRLF.

The DWRLF Engineering staff reviewed 96 sets of plans and specifications for DWRLF loan projects during this fiscal year, adhering to a thirty-day turnaround goal. Following is a list of the systems for which DWRLF reviewed plans and specifications:

- Beauregard Parish Waterworks District No. 3 - Loan 1
- Town of Bernice - Loan 1
- Jefferson Parish Waterworks - Loan 1
- St. Bernard Parish Waterworks - Loan 1
- Town of Delhi - Loan 2
- French Settlement Water Company - Loan 2
- Village of Loreauville Water System- Loan 1
- North Franklin Waterworks, Inc. - Loan 1
- Town of Olla Water System - Loan 1
- Point-Wilhite Water System - Loan 2
- Rapides Parish Waterworks District 3 - Loan 1
- City of Ville Platte Water System - Loan 2
- Ward 2 Livingston Parish Water District - Loan 4
- Town of Weston - Loan 1
- Town of Blanchard Water System - Loans 2 & 3
- East Central Vernon Water System - Loan 1
- Village of Estherwood - Loan 1

Town of Greenwood – Loan 1  
Iberville Parish Waterworks District 3 – Loan 1  
City of Leesville – Loan 1  
City of Mansfield – Loans 2 & 3  
City of Natchitoches – Loan 3  
City of New Llano – Loan 1  
Sabine Parish Waterworks District 1 – Loan 1  
Terrebonne Parish Cons. WWKs Dist. 1 – Loan 2  
City of Winnfield Water System – Loan 1  
Town of Gramercy – Loan 1  
St. John the Baptist Parish Water System – Loan 1

DWRLF Engineers no longer conduct the technical review of plans and specifications for NEW water systems applying for permits through DWRLF's Capacity Development program. This is due to DWRLF engineering staff reductions from previous years, LA's PWSS' desire for new systems plans reviews to be conducted by the District Engineers in which the system will be located, a significant increase in DWRLF loan applications, and EPA and Louisiana's shared focus on reducing unliquidated obligations (ULOs). In 2013, DHH/OPH Administration put a policy in place wherein the technical review of plans and specifications for all NEW water systems are to be conducted by the DHH/OPH District Engineer in whose district the project is located. DWRLF Engineers serve as advisors and coordinators for achieving the Capacity Development technical review for new systems and may, at times, conduct the technical review themselves, if requested. It is important to note however that DWRLF staff continue to conduct the managerial and financial Capacity Development reviews for NEW water systems. This year there were three new systems for which DWRLF staff conducted the managerial and financial reviews. Of these systems, three were approved and permitted, as follows:

Tamanend Subdivision  
Progressive Waste Solutions  
Sandstone Lake Subdivision

DWRLF Engineering staff provided training as well as other related services to many of Louisiana's

Public Water Systems (PWSs) as requested by the PWSS Program and various training providers throughout the State. DWRLF Engineers performed sanitary surveys of PWSs as deemed necessary in order to meet the needs of the PWSS Program and provided technical assistance to PWSs by responding to their requests for such things as general water system information, loan information (not necessarily DWRLF), operator certification guidance, management training information, treatment process guidance, addressing general public health concerns, Operations and Maintenance (O&M) Manual guidance, etc. Additionally, DWRLF Engineers assisted, supported, and participated in several training events provided by approved trainers, as well as the administration of multiple Operator Certification examinations. Currently, DWRLF Engineers are in the middle of conducting the 2015 Drinking Water Infrastructure Needs Survey.

DWRLF Engineers participated in Louisiana's Area Wide Optimization Program (AWOP). A contract was continued thru DWRLF to supply a Technical Assistance Provider for the LA AWOP. Julie LeBlanc, P.E., continued her contract as LA AWOP's Technical Assistance Provider and provided professional engineering services to assist LA AWOP with providing AWOP activities to Louisiana's 56 surface water systems that serve nearly half of Louisiana's population. The goal of the program is to optimize particle removal and minimize disinfection by-product (DBP) formation at existing surface water treatment plants (SWTPs) in order to achieve higher quality finished water and maximize public health protection. The program follows the Comprehensive Composite Program (CCP), an approach that features two main phases that include a system evaluation phase known as Comprehensive Performance Evaluation (CPE) and a technical assistance phase known as Performance Based Training (PBT).

Prior to the current fiscal year (FY15), 36 Comprehensive Performance Evaluations (CPEs) and 4 turbidity-based Performance-Based Training

(PBTs) had been conducted in Louisiana since AWOP's inception in Louisiana in 1995. The most recent (4th) turbidity-based PBT series consisted of five (5) PBT Training Sessions involving six (6) SWTPs from northern Louisiana and occurred between June 2008 and May 2009. DWRLF staff served as facilitators in this EPA Region 6/EPA Technical Support Center (TSC)-sponsored training series. Several other AWOP achievements were made during the current fiscal year (FY15) including data entry into the FY14 and FY15 SWTP Turbidity Plant Ranking, routine attendance as Louisiana AWOP representative(s) at Quarterly EPA Region 6 Multi-State AWOP Meetings in Austin, TX (Oct 28-30, 2014), a remote meeting (conference call format) held February 3-4, 2015, and a meeting in Dallas, TX (May 5-7, 2015). DWRLF staff participated in a data integrity workshop focused on turbidity and disinfection in Midlothian, TX. Additionally, DWRLF participated in the 6th national AWOP meeting in Cincinnati, OH (July 21-22, 2015) where AWOP participants from all states and EPA regions gathered to exchange technical information and develop new AWOP strategies. The 6th Louisiana AWOP Summary Report, typically put together every 3 years, was completed in a prior year (FY13). This report tracked Louisiana AWOP activities and SWTP performance trends at all (formerly) 59 SWTPs across the state from FY09 through FY11. Louisiana now has 16 years of AWOP performance data. Additionally, Louisiana AWOP has been developing a Disinfection By-Product (DBP) Technical Assistance Program. DBP sample testing equipment, including a Hach DR-2800 Spectrophotometer and associated lab equipment, have been ordered and received, with equipment precision and demonstration studies having been completed on-site at the State Lab in Metairie in association with a volunteer water treatment plant. A SWTP DBP Plant Ranking has been established and may be populated for further development/involvement. The LA AWOP team also submitted additional combined filtered effluent (turbidity) and population served data to the EPA Technical Support Center in Cincinnati, OH

for their use in the National AWOP Graph, which now contains data that spans 2009-2014.

The Data Management position is monitoring the statewide chemical drinking water sampling program as well as implementing the SDWIS/State. These activities include overseeing TCR compliance determination by district offices via SDWIS/State non-comp process; assisting regions/districts with data entry SOP, SDWIS training, sample entry; providing/coordinating ongoing training for SDWIS users; and assisting compliance officers with development and implementation of SDWIS to accommodate oversight of primacy requirements.

The 6 Regional SDWP Sanitarian positions will be responsible for performing compliance determinations and inspections of public water systems, updating SDWIS/State based upon the results those activities and the collection of scheduled and emergency drinking water samples.

#### Capacity Development

Louisiana's Capacity Development activities were paid from the Local Programs set-aside and are described more fully below.

#### Operator Certification

Operator Certification activities were not funded by the DWRLF grant during SFY15.

#### Local Programs

The Safe Drinking Water Act allows a state to use up to 15 per cent of its allotment to cover the costs of local programs. No more than 10 per cent of the capitalization grant amount can be used for any one authorized activity. This set-aside is also restricted from "banking" the funds. Consequently, funds specified for this set-aside must be spent during the four year budget period; any excess must be deposited into the loan fund. In its Intended Use Plan, Louisiana planned to use this set aside for capacity development activities. During SFY 2015

\$393,981 was expended for local program expenses. Table 5 depicts the current and cumulative status of the Local Program Set-Aside.

The Environmental Protection Agency (EPA) has developed a strategic plan to express clear and measurable environmental and public health goals for clean and safe water. The strategy related to the drinking water program has specific objectives for demonstrating improvements in public health. The objectives that express public health improvements by 2015, and the status of Louisiana Department of Health and Hospitals (LDHH) in this effort, are as follows:

The capacity development program is an essential program to assist LDHH in meeting the Program Activity Measure (PAM) objectives. The capacity development program addresses compliance goals by helping to ensure that public water systems have technical, managerial, and financial capacity with respect to each National Primary Drinking Water Regulation. The capacity development program consists of a new system program, to ensure compliance and long term system viability, and an existing system strategy to ensure and improve system capacity, as well as a loan system strategy to ensure viability and creditworthiness of the entity. DHH has entered into contracts with technical assistance providers to assist with the implementation of the program. Once systems are assessed and problem areas identified, the technical assistance providers can assist the systems with solving the problems on a personal, on-site level. Each of the following strategies lists the activities accomplished during the fiscal year.

*New Systems* – During this reporting period there were 3 new systems that applied for permits to operate and construct.

*Existing Systems* – During this reporting period, public systems were selected for assessment under the Capacity Development program. Sanitary surveys were performed on those who had not undergone a survey within the required PWSS timeframe (as provided for in their Standard Operating Procedure – FSOP #12.1). Additionally, the Capacity Development Questionnaire Assessment was completed on all of these systems.

*Loan Systems* – During this reporting period, the Village of Estherwood, Village of Mermentau, French Settlement (loan 2), WWD#1 of Terrebonne Parish (loan 2), Town of Bernice, City of Natchitoches (loan 3), Town of Homer, Town of Greenwood, Rambin-Wallace Water System, Inc., Rapides Parish WWD#3, Sabine Parish WWD#1, St. Bernard Parish and East Central Vernon Water System, Inc. submitted business plans or updated previous plans submitted and were approved by the Capacity Development staff.

*Management Training* – Louisiana currently has two technical assistance providers (Louisiana Rural Water Association and Thornton, Musso, & Bellimin) that conduct management training sessions across the state. Additionally, Louisiana Rural Water Association continues to offer training at its annual conference each July in Lake Charles, Louisiana.

Grant Year	Grant #	Reserved	Specified	Unspecified (cumulative)	Expended	Specified Amount Available
1997	FS-9969801	\$2,042,030	\$2,042,030	N/A	\$2,042,030	\$0
1998	FS-9969802	\$0	\$0	N/A	\$0	\$0
1999	FS-9969803	\$97,684	\$97,684	N/A	\$97,684	\$0
2000	FS-9969804	\$0	\$0	N/A	\$0	\$0
2001 & 2002	FS-9969805	\$0	\$0	N/A	\$0	\$0
2003	FS-9969806	\$11,487	\$11,487	N/A	\$11,487	\$0
2004	FS-9969807	\$0	\$0	N/A	\$0	\$0
2005	FS-9969808	\$0	\$0	N/A	\$0	\$0
2006	FS-9969809	\$0	\$0	N/A	\$0	\$0
2007	FS-9969810	\$0	\$0	N/A	\$0	\$0
2008	FS-9969811	\$0	\$0	N/A	\$0	\$0
2009	ARRA	\$0	\$0	N/A	\$0	\$0
2009	FS-9969812	\$0	\$0	N/A	\$0	\$0
2010	FS-9969813	\$0	\$0	N/A	\$0	\$0
2011	FS-9969814	\$415,000	\$415,000	N/A	\$415,000	\$0
2012	FS-9969815	\$425,000	\$425,000	N/A	\$425,000	\$0
2013	FS-9969816	\$425,000	\$425,000	N/A	\$425,000	\$0
2014	FS-9969817	\$475,000	\$475,000	N/A	\$80,256	\$394,744
<b>Totals</b>		\$3,891,201	\$3,891,201		\$3,496,457	\$394,744

## GOALS AND OBJECTIVES

The following goals were developed for the SFY 2015 Intended Use Plan. The short-term goals support the implementation of the program's long-term goals. The long-term goals provide a framework that guides management decisions for the Drinking Water Revolving Loan Fund Program.

### Short-Term Goals

*Goal 1. It is anticipated that approximately 7 binding commitments will be entered into by the end of State fiscal year (SFY) 2015 totaling \$12,653,000.*

During SFY15, Louisiana entered into 13 binding commitments with 13 water systems, 3 of which

had previous loans with the DWRLF. Four of these were listed on the Fundable List; the other nine were on the Comprehensive List. As of June 30, 2015, Louisiana has entered into 110 binding commitments (excluding expired binding commitments) with 76 systems equal to \$316,419,420. The State of Louisiana has assisted and will continue to assist public water systems in procuring loans for eligible project work. Some of the systems in the IUP are in the process of obtaining financing from other sources such as the Rural Utilities Service or the sale of their bonds to private parties. As these projects are identified, they will be by-passed in accordance with the by-pass procedures described in the Intended Use Plan. In addition,

any projects not progressing or accomplishing the loan process requirements are notified and subsequently by-passed to allow those systems that are “ready to proceed” access to funds.

*Goal 2. Louisiana hopes to close 7 loans totaling approximately \$12,653,000 during SFY 2015. The population total for these projects is approximately 120,460.*

Louisiana closed 13 loans in SFY2015 totaling \$37,295,000. The population total for these projects is approximately 232,851.

*Goal 3. Louisiana intends to maintain our fund utilization rate at 85%.*

Line 419 of the Drinking Water National Information System indicated the Assistance provided as a Percentage of Funds Available to be 86.6% for SFY2015.

*Goal 4. To provide at least 15% of the available DWRLF loan funds in SFY 2015 to assist public water systems which regularly serve fewer than 10,000 persons to the extent that there are sufficient projects eligible and ready to receive such assistance.*

The majority of the projects listed on the Comprehensive List are systems serving a population of less than 10,000 persons on a regular basis. As is depicted in Figures 1 and 2, the State of Louisiana is currently providing approximately 38% of the DWRLF available loan funds to systems of this size at the close of the fiscal year ended June 30, 2015.

*Goal 5. To promote the benefits of the program to as many water systems as possible, in an attempt to assure equitable distribution of available financing resources.*

In order to promote the program, several staff members attend and distribute information on the program to interested parties at the Louisiana

Municipal Association’s annual convention, the Louisiana Police Jury Association’s annual convention, the Louisiana Rural Water Association’s Annual Training & Technical Conference, and finally, the Louisiana Conference on Water, Wastewater and Industrial Waste. Additionally, we perform mail-outs to systems on our public water system inventory and to engineers across the state, distributing information for their participation. We are also allowed space in the Safe Drinking Water newsletter *The Water Funnel*. We also provide pictures and articles for the Louisiana Rural Water Association’s quarterly magazine. This past fiscal year, we also advertised in the Louisiana Municipal Association’s magazine. Finally, we established a Facebook page for the program. From contacts made through these social and media venues, we set up meetings with individual system decision makers to provide additional information on a more personal level of contact. The DWRLF staff is often invited to participate as presenters in many training sessions across the state. Our normal procedure is to accept these opportunities to present the requested information and also promote the loan program.

*Goal 6. Apply for FFY15 capitalization grant before the close of state fiscal year 2015.*

The DWRLF submitted its application prior to the end of SFY15 and was awarded the grant shortly after the close of SFY15, thereby meeting its goal.

*Goal 7. To provide expedited financial aid to those systems qualifying as emergency projects or disadvantaged community systems.*

Louisiana added this goal by amending its SFY2006 IUP shortly after Hurricanes Katrina and Rita struck the Louisiana Coast. To date, no projects have been included under either category.

*Goal 8. Work with the financial services firm of PFM to develop a framework for leveraging the*

*DWRLF because of the pending increase in demand for program monies.*

During SFY 2015, the DWRLF determined that there is no immediate need to leverage. It is still the desire of the DWRLF staff to have the mechanism in place, but the current economic climate prohibits such considerations.

*Goal 9. Continue to partner with other funding agencies by jointly funding projects to assist public water systems.*

The *Louisiana Water and Waste Water Joint Funding Committee* continues to meet monthly to discuss projects statewide.

*Goal 10. Maximize our principal forgiveness funds to ensure that's as many communities as possible across the state can be helped in the current economic environment.*

By making loan/principal forgiveness combination loans with the SRF dollars, the DWRLF remains successful in soliciting projects which equal almost all available fund dollars, thereby reducing unliquidated obligations.

### **Long-Term Goals**

*Goal 1. To assist water systems throughout the state in achieving and maintaining the health and compliance objectives of the Safe Drinking Water Act by providing financial assistance to meet infrastructure needs in a prioritized manner.*

The DWRLF program is promoted throughout the State of Louisiana as a means of assistance to water systems in maintaining compliance with the Safe Drinking Water Act. As of June 30, 2015, 137 loans to water systems have been awarded. (See Table 8, Loan Portfolio) Each project contributes to the furtherance of this goal.

*Goal 2. Promote the efficient use of all funds, and ensure that the Fund corpus is available in*

*perpetuity for providing financial assistance to public water systems.*

The DWRLF program maintains its accounting records in accordance with generally accepted accounting principles (GAAP) as applicable to governments. In addition, all financial transactions of the Fund are audited annually by the State of Louisiana's Legislative Auditor's Office in accordance with the Single Audit Act. The unaudited financial statements are included in this report as Exhibit IX. The Fund corpus is required to be maintained into perpetuity for providing financial assistance to public water systems. This is accomplished statutorily through the repayments of principal to the fund.

*Goal 3. Use the DWRLF set-aside funds strategically and in coordination with the program loans to maximize the DWRLF loan account's impact on achieving affordable compliance and public health protection.*

The DWRLF staff coordinates with the PWSS Program staff to maximize the use of the funds to further the public health protection objectives of the Safe Drinking Water Act.

The loan documents and actual loans require a dedicated revenue source to secure with relative certainty the repayment of the loan. No loan will be made without this requirement satisfied. Interest is assessed on all SRF loans, no loans are in default, and loan-underwriting criteria (described in the Provisions to the Operating Agreement) require borrowers to have debt service reserves and excess revenue coverage to insure prompt payment of all principal and interest due.

*Goal 4. Promote the development of the technical, managerial, and financial capability of all public water systems to maintain or come into compliance with state drinking water federal SDWA requirements.*

This past fiscal year the DWRLF continued to provide assistance to public water systems across the state through the Capacity Development program. The program was able to help many systems come back into compliance and even more to stay off of the violations list. (The efforts of this program were quantified on page 11 of this report.) The Louisiana Capacity Development program will continue our partnership with the public water systems throughout the state to provide our citizens with the most dependable and safe drinking water possible.

*Goal 5. Provide needed investment in green and energy efficient technology.*

LDHH exceeded the “green” goal for the Capitalization Grant dollars. Several projects were either categorically “green” and many more had components of ‘green’ as a part of the overall project. LDHH continues to meet or exceed the green project reserve goal as demonstrated in Table 7.

*Goal 6. Make the water systems throughout the state more water efficient to ensure the continued*

*availability of sufficient quantities of safe drinking water for future generations of the state.*

The DWRLF continues to work with consulting engineers on projects to include water efficiency goals into the design. Each loan made furthers this goal.

*Goal 7. Invest in infrastructure that will provide long term economic and environmental benefits to public water systems.*

Generally, each loan made by the DWRLF is for a term of 20 years. Before the loan can be made, the system improvement plan must demonstrate that the design life of the project meets or exceeds the loan life. This measure provides for long-term benefits to the public water systems. This benefits the environment by using the most modern technology in rehabbing or replacing aged infrastructure. The below-market interest rate at which loans are made also contributes to the long-term economic benefits for the system and its customers.

## DETAILS OF ACTIVITIES

### Loan Fund Financial Status

***Binding Commitments.*** In order to provide financial assistance for drinking water projects, the state entered into thirteen binding commitments totaling \$37,295,000. Exhibit I details the Drinking Water Revolving Loan Fund binding commitments made during SFY 2015.

***Sources of Funds.*** During SFY 2015, the state was awarded one federal capitalization grant totaling \$12,147,000. State match totaling \$2,433,660 was provided through the sale of revenue bonds to match Federal funds deposited into the DWRLF.

***Revenues and Expenses.*** Fund revenues consisted of federal funds for set-aside programs,

interest earned on loans outstanding and interest earned on cash invested. These revenues totaled \$6,147,446. Fund expenses included set-aside expenses, principal forgiveness, interest expense on bonds issued and bond issuance costs. The expenses totaled \$5,009,922. The unaudited financial statements are included as Exhibit IX.

### Administrative Fee Fund Financial Status

***Revenues and Expenses.*** During SFY 2015, the state charged and collected the .5% administrative fee on all loans outstanding. This fee is assessed with each interest billing or every six months as specified in the loan documents. These revenues totaled \$675,432. In addition, interest earned on investments was \$5,253.

There were no expenses associated with this fund during the state fiscal year. The unaudited financial statements are included as Exhibit IX and include the full accounting of the fund.

### **Program Status**

***Findings of the Annual Audit:*** The Drinking Water Revolving Loan Fund Program will be audited by the State Legislative Auditor for State Fiscal Year Ending June 30, 2015 in the fall of 2015 and spring of 2016. The SFY 2015 audit will contain a report on Compliance with requirements applicable to the Capitalization Grants for Drinking Water State Revolving Funds Program and will be posted on the web site and provided to EPA once completed. The SFY 2014 audit concluded in May 2015 with no findings or recommendations.

***EPA Oversight Review:*** EPA Region VI conducted its SFY 2014 annual review of the Louisiana Drinking Water Revolving Loan Fund Program in March 2015.

### **Assistance Activity**

Exhibits I through IV and VI illustrate the assistance activity of the Drinking Water Revolving Loan Fund Program in SFY 2015.

Exhibit I	Binding Commitments & Loans
Exhibit II	Binding Commitments by Need
Exhibit III	Project Disbursements
Exhibit IV	Loan Repayments
Exhibit VI	DWRLF Binding Commitment Requirement

### **Provisions of the Operating Agreement**

The operating agreement between EPA and Louisiana has been amended from time to time to reflect changes in the program. This operating agreement lists several conditions which Louisiana agreed to and consistently complies

with. The following conditions are described in more detail:

#### *State Matching Funds*

Seventeen grants totaling \$257,635,700 have been awarded to the State of Louisiana as of SFY 2015. The State of Louisiana has provided its required state matching share of federal grant payments through General Fund cash appropriations and the sale of revenue bonds. The state legislature provided \$6,347,105 through appropriations to the fund the first two years after formation; thereafter, state match is provided through bonds under a bond indenture, or letter of credit like instrument. The Louisiana Public Facilities Authority, a public trust and public corporation of the State of Louisiana has legal authority to act as the issuer on behalf of DHH for the sale of the revenue bonds for the state match. Whitney Bank serves as the trustee for the DWRLF in all its transactions involving the revenue bonds for state match and Capital One Bank is the purchaser of the bonds.

The state match bond transactions are more fully described in Note 7 of the financial statements.

#### *Environmental Review*

The State of Louisiana Department of Health and Hospitals Office of Public Health reviews all projects assisted through DWRLF's capitalization grant funds in accordance with their EPA-approved State Environmental Review Process (SERP). There were 15 environmental reviews conducted during this fiscal year which resulted in the following environmental determinations: ten (10) Categorical Exclusions (CATEXs), three (3) Finding of No Significant Impacts (FONSIs), and two (2) Statement of Findings (SOFs).

Below is a list of the DWRLF loan recipients and their respective projects' environmental determinations:

Town of Bernice – Loan 1 - one (1) CATEX

Town of Jackson – Loan 1 - one (1) CATEX

City of Scott – Loan 1 - one (1) CATEX  
St. Bernard Parish Waterworks – Loan 1 & 2  
one (1) CATEX  
French Settlement Water Company – Loan 2  
one (1) CATEX  
Point-Wilhite Water System, Inc.– Loan 2  
one (1) CATEX  
East Central Vernon Parish Water System – Loan 1  
one (1) FONSI  
Town of Greenwood – Loan 1 - one (1) FONSI  
Iberville Parish Waterworks District 3 – Loan 1  
one (1) FONSI  
Town of Lutcher Water System – Loan 1  
one (1) SOF  
City of Natchitoches – Loan 3 - one (1) CATEX  
Sabine Parish Waterworks District 1 – Loan 1  
one (1) CATEX  
Town of Homer – Loan 1 - one (1) CATEX  
St. John the Baptist Parish Waterworks – Loan 1  
one (1) SOF  
Rambin-Wallace Water System – Loan 1  
one (1) CATEX

#### *Binding Commitments of 120% Grant Payments*

The State agreed to enter into binding commitments in an amount equal to 120 percent of each quarterly grant payment within one year of receipt of each grant payment. Exhibit VI depicts the cumulative requirement to date as well as the activity accomplished by Louisiana toward meeting this goal. At June 30, 2015, LA is ahead on meeting this requirement.

#### *Timely Expenditure*

The State agreed to expend all funds in an expeditious and timely manner. Note 4 of the Notes to the financial statements has a table depicting the total draws made to date.

#### *State Auditing Procedures*

The State annually submits to an independent audit conducted on the Drinking Water Revolving Loan Fund Program. The program funds are included again in the audit of the State of Louisiana in accordance with the Single Audit Act as performed by the Legislative Auditor. Both audits are in accordance with generally accepted government auditing standards issued by the Comptroller General of the United States. The independent audit of the program contains an opinion on the financial statements, a report on internal controls, and a report on compliance with laws and regulations. The audit of the DWRLF Program for State Fiscal Year 2015 will be accomplished in the Fall of 2015 and Spring of 2016 and submitted to EPA at that time.

#### *State and DWRLF Assistance Recipient Accounting*

The State has established fiscal controls and accounting procedures, according to Generally Accepted Accounting Procedures (GAAP), that are sufficient to account for and report DWRLF program activities. The State agreed that it would require assistance recipients to maintain project accounts in accordance with GAAP and have an annual audit of these accounts in accordance with the Office of Management and Budget Circular A-133. DWRLF staff annually request the appropriate financial statements from the loan recipients. These statements are then reviewed for compliance and creditworthiness. Any discrepancies are addressed in a meeting with the loan recipient's responsible party and an equitable solution is agreed upon to correct the discrepancy.

#### **Conditions of the Grant**

The State of Louisiana agreed to Administrative and Programmatic Conditions in the Capitalization Grant Agreement. All the conditions have been met and require no further explanation with the exception of the following:

*Compliance with requirements of EPA's Program for Utilization of Small, Minority and Women's Business Enterprises in procurement under assistance agreements.*

**MBE/WBE Requirements**

DHH-OPH monitors all loan recipients for compliance with EPA Disadvantaged (Minority- and Woman- owned) Business Enterprises (DBE) fair share efforts. DHH-OPH reviews all contracts prior to award of loan, as well as in-house purchasing, to ensure compliance with the six (6) DBE Good Faith Efforts in the following four categories: Supplies, Equipment, Services, and Construction. In the case of loan awards, all prime contractors are required to furnish DHH-OPH with appropriate documentation to demonstrate compliance with the six (6) DBE Good Faith Efforts. This DWRLF program requirement is emphasized in our Disadvantaged Business Enterprise Guidance Document, which is required to be included in all DWRLF loan project construction specifications, and then discussed again at the Pre-Construction Meeting with the DWRLF loan project prime contractors. Documentation explaining and demonstrating that the six (6) DBE Good Faith Efforts were made to ensure maximum opportunity was provided for DBE participation is required and maintained for each DWRLF loan project file. DHH-OPH also monitors set-aside activities for compliance with EPA DBE fair share efforts.

For Federal Fiscal Year 2015 (FFY15), EPA has mandated that DBE reporting be completed annually based on the FFY. Therefore, for this State Fiscal Year 2015 Annual Report, the most recent completed DBE data is from FFY14, as provided below.

In calculating the amount of DBE procurement activities accomplished in the figure below, the total amount paid to subcontractors under the construction category is shown when the award

was made, not actual payments. Also, the dollar amounts for each category include only the federal grant money and do not include the state match portion. Adjustments for the timing of any payments were not taken into account.

The State DBE goals for FFY 2013, as determined by the lead agency for the program (Louisiana Department of Environmental Quality, LDEQ), were as follows:

	<b>MBE</b>	<b>WBE</b>
<b>SUPPLIES</b>	7.0 %	7.0 %
<b>EQUIPMENT</b>	3.6 %	5.0 %
<b>SERVICES</b>	21.0 %	17.0 %
<b>CONSTRUCTION</b>	13.0 %	9.0 %

The actual amount of DBE procurement activities accomplished by DWRLF activities during FFY2014 were as follows:

	<b>MBE</b>	<b>WBE</b>
<b>SUPPLIES</b>	\$ 91,370	\$ 58,203
<b>EQUIPMENT</b>	\$ 0	\$ 0
<b>SERVICES</b>	\$ 16,200	\$ 31,229
<b>CONSTRUCTION</b>	\$ 74,950	\$ 110,500

**ADDITIONAL SUBSIDIZATION**

*The recipient agrees to provide additional subsidization in the form of principal forgiveness, negative interest rate loans, or grants to recipients of eligible Drinking Water State Revolving loans.*

Every Capitalization Grant awarded to the state since the ARRA grant has included provisions for additional subsidization. The State has met the additional subsidization goals by providing principal forgiveness to its loan recipients. The principal forgiveness is awarded to loan recipients on a first-come, first-served basis in the order at which they are ready to proceed to construction at the time of the loan closing. EPA

advised the states to account for the additional subsidization on an “equivalency basis”. This means that the actual funds drawn for project construction are drawn from the oldest open grant first. Projects are not assigned to grants,

so the funds for each project may come from multiple grants. Table 6 depicts each grant and the associated amount of additional subsidization committed to projects.

**Table 6**

Additional Subsidization		Through June 30, 2015	
Grant Number	Additional Subsidization Committed from Grant	Additional Subsidization Committed to Projects	Percentage of Grant as Additional Subsidization
FS-99696813	\$ 7,694,700	\$ 7,694,700	30.00%
FS-99696814	\$ 5,339,400	5,339,400	30.00%
FS-99696815	\$ 3,392,400	3,392,400	20.00%
FS-99696816	\$ 4,774,200	4,774,200	30.00%
FS-99696817	\$ 3,638,100	\$ 3,638,100	30.00%
	\$ 24,838,800	\$ 24,838,800	

Each project awarded principal forgiveness received 30% principal forgiveness with a maximum of \$1,125,000. The forgiveness was applied to each draw request. As projects are completed, the final amounts are adjusted to the actual amount drawn. Table 6a depicts the grants and the amounts the projects received in additional subsidization

**Table 6a**

Project	Additional Subsidization Committed from Grant				
	FS-99696813	FS-99696814	FS-99696815	FS-99696816	FS-99696817
Livingston Ward 4	\$ 1,125,000				
Alexandria #2	\$ 1,125,000				
Mansfield #2	\$ 465,000				
Mansfield #3	\$ 660,000				
Town of Pearl River	\$ 540,000				
Winnfield	\$ 750,000				
Avoyelles Ward 1	\$ 398,810				
Blanchard #2	\$ 1,125,000				
Delhi #2	\$ 133,683	\$ 427,317			
South Vernon	\$ 247,500				
Lutcher	\$ 470,000				
Baker	\$ 589,451				
East Allen	\$ 49,505				
Bayou Des Cannes	\$ 15,751				
Village of Loreauville		\$ 393,000			
Ville Platte #2		\$ 1,125,000			
Beauregard Parish WWD#3		\$ 900,000			
Mount Hermon		\$ 197,610			
Town of Gramercy		\$ 450,000			
City of Leesville		\$ 1,125,000			
Southeast Grant		\$ 105,360			
Natchitoches		\$ 123,822			
St. John		\$ 492,292	\$ 632,709		
Calcasieu #12			\$ 600,000		
New Llano			\$ 300,000		
Jefferson			\$ 1,065,000		
North Franklin			\$ 794,692	\$ 330,309	
Olla				\$ 150,000	
Weston				\$ 121,500	
Estherwood				\$ 297,000	
Mermentau				\$ 300,000	
Fr. Settlement				\$ 375,000	
Terrebonne Loan 2				\$ 1,125,000	
Bernice				\$ 76,500	
Natchitoches				\$ 600,000	
Homer				\$ 1,080,000	
Greenwood				\$ 318,892	\$ 806,108
Rambin-Wallace					\$ 70,500
Rapides WWD #3					\$ 1,125,000
Sabine WWD #1					\$ 300,000
Saint Bernard					\$ 1,125,000
East Central Vernon					\$ 211,492
	\$ 7,694,700	\$ 5,339,400	\$ 3,392,400	\$ 4,774,200	\$ 3,638,100

**GREEN PROJECT RESERVE**

*The FY10 and FY11 Grants required that the recipient agree to make a timely and concerted solicitation for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities. The recipient agreed to include in its IUP such qualified projects, or components of*

*projects, that total an amount at least equal to 20% of its capitalization grant.(\$5,129,800 and \$3,559,600 respectively)*

Louisiana's approved project was the City of Ville Platte, Loan #2 - \$9,450,00 (which exceeds the requirements). Table 7 depicts the "green" dollars disbursed to date on this project from each grant.

**Table 7**

<b>FS-99696813 Green Project Reserve Disbursed Through June 30, 2015</b>				
<b>Project Name</b>	<b>GPR per PBR</b>	<b>Actual Disb.</b>	<b>Difference (\$)</b>	<b>Percentage Spent</b>
City of Ville Platte, Loan #2	5,129,800	5,129,800	-	100.0%
	\$ 5,129,800	\$ 5,129,800	\$ -	100.0%
<b>FS-99696814 Green Project Reserve Disbursed Through June 30, 2015</b>				
<b>Project Name</b>	<b>GPR per PBR</b>	<b>Actual Disb.</b>	<b>Difference (\$)</b>	<b>Percentage Spent</b>
City of Ville Platte, Loan #3	3,559,600	504,214	(3,055,386)	14.2%
	\$ 3,559,600	\$ 504,214	\$ (3,055,386)	14.2%

**Assurances of 40 CFR 35.3570(3)**

In accordance with EPA requirements and in addition to the above operating agreement requirements and grant conditions, the state must certify that it has complied with section 1452 of the Act and subpart 40 CFR 35.3570(3). These assurances have been explained in other sections of this report. The following assurances are discussed more fully below:

*Provide loan assistance to disadvantaged communities*

During SFY06, Louisiana amended its SFY06 IUP to include provisions for emergency projects and disadvantaged systems and EPA subsequently approved it. The amendment was made immediately following the hurricanes in anticipation of a need by damaged systems. We have not yet had any system loaned money under these provisions.

*Procedures for transfers of funds/cross-collateralization*

To date, the State of Louisiana has adopted no procedures for transfer of funds between the Clean Water SRF and the Drinking Water SRF. There has been no need for these procedures because there have been no plans for transfers or cross-collateralization of the assets. Should it become necessary in the future, DEQ and DHH staff would adopt such procedures.

*Long-term financial health of the fund*

Louisiana has and will continue to manage the fund in a fiscally prudent manner and has policies and procedures in place which promote the long-term health of the fund. From time to time as requested by LDHH, the financial advisors, PFM, calculate 20 year cash flow projections for the fund based upon known and potential factors and assumptions. Financial projections were calculated during SFY2015 as part of a review of the loan interest rate. This calculation is an expense to the program and will continue to be accomplished on an as needed basis for making management decisions for the fund.

## **PROGRAM CHANGES**

The Annual Report reflects any changes from the state's IUP. Differences are due to the fact that the IUP is a plan and the annual report reflects actual events. Changes are also due to delays by systems in submission of required project information necessary to receive funding and loans, changes in required legal resolutions, or because systems withdrew from active pursuit of state funding.

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**LOUISIANA**

**DRINKING WATER**

**REVOLVING LOAN FUND PROGRAM**

**LOAN PORTFOLIO**

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Table 8  
DWRLF Schedule of Binding Commitments & Loans Closed

System	Bind. Comm	PROJECT NAME	ARRA	Base	ARRA	GREEN	BINDING	LOAN	Loan count	LOAN AWARDS	LOAN	LOAN		
							COMMITMENT	CLOSING					INTEREST	MATURITY
							DATE	DATE					NET OF WRITE-DOWNS	TYPE
		PROJECT	LOAN	LOAN										
		NUMBER	AWARD	AWARD										
1	1	Town of Church Point		1001001	\$2,500,000		08/17/99	08/17/99	1	\$2,500,000.00	loan	3.45%	Feb-19	
2	2	City of Oakdale		1003006	\$1,500,000		01/21/00	01/21/00	2	\$1,492,411.90	loan	3.45%	Feb-21	
3	3	Ward 2, Water Dist., Livingston Parish		1063039	\$9,000,000		06/15/00	06/15/00	3	\$9,000,000.00	loan	3.45%	Apr-22	
4	4	Town of Many #1		1085016-01	\$1,000,000		12/19/00	12/19/00	4	\$998,521.68	loan	3.45%	Dec-08	
	5	Town of Many #2		1085016-02	\$1,100,000		12/19/00	12/19/00	5	\$1,075,319.77	loan	3.45%	Jun-10	
	6	Town of Many #3		1085016-03	\$1,500,000		12/19/00	12/19/00	6	\$1,470,191.67	loan	3.45%	Dec-11	
5	7	City of Shreveport #1		1017031-01	\$7,000,000		06/28/01	11/08/01	7	\$7,000,000.00	loan	3.45%	Oct-22	
	8	City of Shreveport #2		1017031-02	\$7,000,000		06/28/01	11/08/01	8	\$7,000,000.00	loan	3.45%	Oct-22	
	9	City of Shreveport #3		1017031-03	\$5,540,000		06/28/01	12/28/01	9	\$5,540,000.00	loan	3.45%	Oct-22	
6	10	Town of Baldwin		110101-01	\$1,250,000		08/28/01	08/28/01	10	\$1,249,626.75	loan	3.45%	May-21	
7	11	West Winnsboro		1041009	\$747,100		09/28/01	09/28/01	11	\$648,093.00	loan	3.45%	Jul-21	
8	12	DeSoto Parish WWD #1		1031030-01	\$2,350,000		02/19/02	02/19/02	12	\$2,350,000.00	loan	3.45%	Aug-22	
9	13	Village of Quitman		1049014	\$480,000		05/23/02	05/23/02	13	\$480,000.00	loan	3.45%	May-22	
10	14	Colyell Community Water System		1063003-01	\$948,600		06/27/02	06/27/02	14	\$948,599.80	loan	3.45%	Jul-23	
11	15	Culbertson Water System, Inc.		1061024-01	\$669,000		06/27/02	06/27/02	15	\$598,225.75	loan	3.45%	Jun-22	
12	16	City of Natchitoches		1069007-01	\$3,500,000		08/15/02	08/15/02	16	\$3,500,000.00	loan	3.45%	Jan-23	
13	17	City of Westlake		1019054	\$3,750,000		03/27/03	03/27/03	17	\$3,739,906.34	loan	3.45%	Nov-24	
14	18	Ascension Water Co., Inc.		1005194	\$6,000,000		10/01/03	12/22/03	18	\$6,000,000.00	loan	3.45%	Dec-25	
15	19	Lafayette Waterworks Dist. North		1055171	\$2,800,000		03/26/04	06/03/04	19	\$2,738,586.52	loan	3.45%	Oct-25	
16	20	New Iberia - Louisiana Water Co.		1045009-1	\$6,000,000		06/29/04	11/30/04	20	\$6,000,000.00	loan	3.45%	Nov-26	
	21	Ward 2, Water Dist., Livingston Parish		1063039-02	\$6,000,000		09/30/04	07/12/05	21	\$5,984,678.07	loan	3.45%	Apr-26	
17	22	City of Springhill		1119028-01	\$7,500,000		06/13/05	06/15/07	22	\$7,456,558.00	loan	3.45%	Jun-29	
		Lafayette Waterworks Dist. North #2		1055171-02	\$0		-	-		\$0.00	-	-	-	
18	23	City of Monroe		1073031-01	\$3,000,000		06/28/06	06/28/06	23	\$3,000,000.00	loan	3.45%	Jul-28	
19	24	French Settlement		1105010	\$1,000,000		07/15/06	05/01/07	24	\$770,066.82	loan	3.45%	Apr-29	
	25	Ascension Water Co., Inc. #2		1005194-02	\$5,000,000		09/28/06	12/19/06	25	\$5,000,000.00	loan	3.45%	Dec-28	
	26	New Iberia - Louisiana Water Co. #2		1046009-2	\$3,500,000		09/28/06	12/19/06	26	\$3,500,000.00	loan	3.45%	Dec-28	
20	27	Savoy Swords Water System, Inc.		1097024	\$1,000,000		12/19/06	12/19/06	27	\$907,237.85	loan	3.45%	Dec-27	
21	28	Town of Slaughter		1037008-01	\$1,355,000		11/28/07	11/28/07	28	\$1,355,000.00	refinance	3.45%	Jun-36	
	29			1037008-02	\$842,400		11/28/07	06/30/08	29	\$640,522.90	loan	3.45%	Jun-28	
	30			1037008-03	\$157,600		11/28/07	06/30/08	30	\$0.00	loan	3.45%	-	
22	31	Buckeye Water District #50, Inc.		1079004-01	\$500,000		02/01/08	06/30/08	31	\$400,000.00	loan	3.45%	Jun-29	
23	32	Point Wilhite Water System, Inc.		1111012	\$925,000		2/18/2008	02/18/08	32	\$925,000.00	loan	3.45%	Feb-30	

Table 8  
DWRLF Schedule of Binding Commitments & Loans Closed

System	Bind. Comm	PROJECT NAME	ARRA	PROJECT NUMBER	Base	ARRA	GREEN	BINDING	LOAN	Loan count	LOAN AWARDS NET OF WRITE-DOWNS	TYPE	LOAN	LOAN
					LOAN	LOAN		COMMITMENT	CLOSING				INTEREST	MATURITY
					AWARD	AWARD		DATE	DATE				RATE	DATE
24	33	Gardner Community Water Association, Inc.	✓	1079010-1A	\$1,246,000			2/22/2008	12/22/09	33	\$400,183.60	loan	2.95%	Dec-30
				1079010-1B	\$0			-	12/22/09	34	\$933,761.73	loan	2.95%	Dec-30
				1009002	\$0			3/5/2008						-
25	34	City of Ruston-Loan 1A	✓	1061017-1	\$4,000,000			4/4/2008	10/21/09	35	\$2,000,000.00	loan	2.95%	Oct-30
				1061017-2	\$0			-	10/21/09	36	\$1,334,000.00	loan	2.95%	Oct-30
35		West Winnsboro #2		1041009-02	\$500,000			2/21/2008	06/06/08	37	\$467,459.84	loan	3.45%	Jul-28
				1073100-01	\$0			6/13/2008				\$0.00	-	-
36		Town of Franklin	✓	1101003-01A	\$2,400,000			5/23/2008	02/02/10	38	\$811,000.00	loan	2.95%	Mar-30
				1101003-01B	\$0			-	02/02/10	39	\$1,894,000.00	loan	2.95%	Mar-30
				1079020-01	\$0			6/6/2008						
26	37	United Water System, Inc.		1099009-01	\$400,000			5/20/2008	06/06/08	40	\$360,333.26	loan	3.45%	Oct-28
27	38	Natchitoches WWD#2		1069006-01	\$3,500,000			12/23/2008	12/23/08	41	\$3,500,000.00	loan	3.45%	Nov-29
				1069006-02	\$1,003,000			12/23/2008	12/23/08	42	\$649,276.49	loan	3.45%	Nov-29
	39			1063003-02	\$900,000			3/12/2009	03/12/09	43	\$899,732.40	loan	3.45%	
28	41	Calcasieu WWD #8 Series A	✓	1019118-1AB	\$-	\$384,000		08/04/09	08/04/09	44	\$384,000.00	loan	2.95%	Dec-29
				1019118-1B	\$257,000			08/04/09	08/04/09	45	\$257,000.00	loan	2.95%	Dec-29
				1019118-1C	\$209,000			08/04/09	08/04/09	46	\$209,000.00	loan	2.95%	Dec-28
44		Buckeye Water District #50, Inc., Loan #2-A	✓	1079004-02A	\$-	\$684,000			10/07/09	47	\$684,000.00	loan	2.95%	Jun-30
45		Buckeye Water District #50, Inc., Loan #2-B		1079004-02B	\$458,000				10/07/09	48	\$458,000.00	loan	2.95%	Jul-30
46		Shreveport #4 Series A	✓	1017031-04	\$-	\$2,000,000		10/01/09	11/06/09	49	\$2,000,000.00	loan	2.95%	Dec-30
				1017031-04	\$9,000,000				11/06/09	50	\$8,692,302.04	loan	2.95%	Dec-30
29	47	Morgan City Series A	✓	1101005-1A	\$-	\$1,000,000			12/22/09	51	\$1,000,000.00	loan	2.95%	Dec-30
				1101005-1B	\$1,750,000				12/22/09	52	\$1,750,000.00	loan	2.95%	Dec-30
				1101005-1W	\$1,250,000				12/22/09	53	\$1,234,000.00	loan	2.95%	Dec-30
30	48	Iberville Parish WWD #2	✓	1047007-01	\$-	\$1,950,000			11/24/09	54	\$1,906,141.79	loan	2.95%	Jan-31
				1047007-02	\$1,300,000				11/24/09	55	\$1,300,000.00	loan	2.95%	Jan-31
49		Savoy Swords Water System, Inc. #2A	✓	1097024-2A	\$-	\$265,800		12/22/09	12/22/09	56	\$261,144.24	loan	2.95%	Dec-30
				1097024-2B	\$620,200			12/22/09	12/22/09	57	\$609,336.52	loan	2.95%	Dec-30
31	50	City of Bogalusa -1A	✓	1117001-1A	\$-	\$2,000,000	✓	12/22/09	12/22/09	58	\$2,000,000.00	loan	2.95%	Sep-30
				1117001-1B	\$3,000,000			12/22/09	12/22/09	59	\$3,000,000.00	loan	2.95%	Sep-30
32	51	City of Baker - 1A	✓	1033003-01A	\$-	\$2,000,000	✓	01/15/10	01/15/10	60	\$2,000,000.00	loan	2.95%	Jan-31
				1033003-01B	\$2,200,000			01/15/10	01/15/10	61	\$2,200,000.00	loan	2.95%	Jan-31
33	52	City of Alexandria - 1A	✓	1079001-01A	\$-	\$1,000,000		01/22/10	01/22/10	62	\$1,000,000.00	loan	2.95%	May-30
				1079001-01B	\$3,390,000			01/22/10	01/22/10	63	\$3,390,000.00	loan	2.95%	May-30

Table 8  
DWRLF Schedule of Binding Commitments & Loans Closed

System	Bind. Comm	PROJECT NAME	ARRA	PROJECT NUMBER	Base	ARRA	GREEN	BINDING	LOAN	Loan count	LOAN AWARDS NET OF WRITE-DOWNS	TYPE	LOAN	LOAN
					LOAN	LOAN		COMMITMENT	CLOSING				INTEREST	MATURITY
					AWARD	AWARD		DATE	DATE				RATE	DATE
34	53	Town of Pollock - 1A	✓	1043007-1A	\$ -	\$159,000		01/22/10	01/22/10	64	\$159,000.00	loan	2.95%	Nov-30
		Town of Pollock - 1B		1043007-1B	\$ 371,000			01/22/10	01/22/10	65	\$371,000.00	loan	2.95%	Nov-30
	54	City of Westlake Loan 2A	✓	1019054-2A	\$ -	\$870,000	✓	01/26/10	01/26/10	66	\$870,000.00	loan	2.95%	Jan-30
		City of Westlake Loan 2B		1019054-2B	\$ 2,030,000		✓	01/26/10	01/26/10	67	\$2,030,000.00	loan	2.95%	Jan-30
35	55	East Allen Parish WWD 1A	✓	1003011-1A	\$ -	\$385,000	✓	01/26/10	01/26/10	68	\$381,959.47	loan	2.95%	Jan-30
		East Allen Parish WWD 1B		1003011-1B	\$ 900,000		✓	01/26/10	01/26/10	69	\$891,238.77	loan	2.95%	Jan-30
36	56	Southwest Allen Parish WWD2-1A	✓	1003009-1A	\$ -	\$298,500	✓	01/26/10	01/26/10	70	\$298,500.00	loan	2.95%	Jan-30
		Southwest Allen Parish WWD2-1B		1003009-1B	\$ 696,500		✓	01/26/10	01/26/10	71	\$696,500.00	loan	2.95%	Jan-30
37	57	Kolin Ruby Wise Water District No. 11-1A	✓	10790231A	\$ -	\$165,000		02/02/10	02/02/10	72	\$165,000.00	loan	2.95%	Feb-30
		Kolin Ruby Wise Water District No. 11-1B		10790231B	\$ 385,000			02/02/10	02/02/10	73	\$385,000.00	loan	2.95%	Feb-30
	58	DeSoto Parish WWD #1 Loan 2A	✓	1031030-02A	\$ -	\$708,000		02/02/10	02/02/10	74	\$708,000.00	loan	2.95%	Aug-30
		DeSoto Parish WWD #1 Loan 2B		1031030-02B	\$ 1,652,000			02/02/10	02/02/10	75	\$1,652,000.00	loan	2.95%	Aug-30
38	59	City of Ville Platte Loan 1A	✓	1039010-01A	\$ -	\$2,000,000	✓	02/03/10	02/03/10	76	\$2,000,000.00	loan	2.95%	May-29
		City of Ville Platte, Loan 1B		1039010-01B	\$ 2,050,000			02/03/10	02/03/10	77	\$2,050,000.00	loan	2.95%	May-29
	60	United Water System, Inc. #2A	✓	1099009-02A	\$ -	\$285,000		02/04/10	02/04/10	78	\$282,268.51	loan	2.95%	Oct-30
		United Water System, Inc. #2B		1099009-02B	\$ 667,000			02/04/10	02/04/10	79	\$658,626.49	loan	2.95%	Oct-30
39	61	Town of Blanchard Loan 1A	✓	1017006-01A	\$ -	\$1,000,000		02/05/10	02/05/10	80	\$1,000,000.00	loan	2.95%	Mar-30
		Town of Blanchard Loan 1B		1017006-01B	\$ 2,657,000			02/05/10	02/05/10	81	\$2,657,000.00	loan	2.95%	Mar-30
40	62	Bayou Des Cannes Water System, Inc. #1A	✓	1039016-01A	\$ -	\$666,700		02/08/10	02/08/10	82	\$666,700.00	loan	2.95%	Jan-30
		Bayou Des Cannes Water System, Inc. #1B		1039016-01B	\$ 1,555,820			02/08/10	02/08/10	83	\$1,555,820.00	loan	2.95%	Jan-30
41	63	City of Thibodaux 1A	✓	1057003-01A	\$ -	\$1,000,000		02/08/10	02/08/10	84	\$1,000,000.00	loan	2.95%	Jun-30
		City of Thibodaux 1B		1057003-01B	\$ 5,400,000			02/08/10	02/08/10	85	\$5,400,000.00	loan	2.95%	Jun-30
42	64	Town of Walker 1A	✓	1063017-01A	\$ -	\$156,000		02/09/10	02/09/10	86	\$156,000.00	loan	2.95%	Oct-29
		Town of Walker 1B		1063017-01B	\$ 364,000			02/09/10	02/09/10	87	\$364,000.00	loan	2.95%	Oct-29
43	65	ACUD#1 1A	✓	1005045-01A	\$ -	\$300,000		02/09/10	02/09/10	88	\$300,000.00	loan	2.95%	Dec-30
		ACUD #1 1B		1005045-01B	\$ 700,000			02/09/10	02/09/10	89	\$700,000.00	loan	2.95%	Dec-30
44	66	City of Natchitoches 2A	✓	10069007-02A	\$ -	\$2,000,000		02/10/10	02/10/10	90	\$2,000,000.00	loan	2.95%	Dec-30
		City of Natchitoches 2B		10069007-02B	\$ 3,000,000			02/10/10	02/10/10	91	\$3,000,000.00	loan	2.95%	Dec-30
45	67	City of Mansfield 1A	✓	10031009-01A	\$ -	\$1,000,000		02/11/10	02/11/10	92	\$1,000,000.00	loan	2.95%	Feb-30
		City of Mansfield 1B		10031009-01B	\$ 3,120,000			02/11/10	02/11/10	93	\$3,120,000.00	loan	2.95%	Feb-30
46	68	New Orleans Sewerage & Water Board 1A	✓	1071009-01A	\$ -	\$1,800,000		02/11/10	02/11/10	94	\$1,800,000.00	loan	2.95%	Feb-30
		New Orleans Sewerage & Water Board 1B		1071009-01B	\$ 1,600,000			02/11/10	02/11/10	95	\$1,546,418.30	loan	2.95%	Feb-30

Table 8  
DWRLF Schedule of Binding Commitments & Loans Closed

System	Bind. Comm	PROJECT NAME	ARRA	PROJECT NUMBER	Base LOAN AWARD	ARRA LOAN AWARD	GREEN	BINDING COMMITMENT DATE	LOAN CLOSING DATE	Loan count	LOAN AWARDS NET OF WRITE-DOWNS	LOAN TYPE	LOAN INTEREST RATE	LOAN MATURITY DATE			
															LOAN AWARDS	LOAN INTEREST	LOAN MATURITY
47	69	Town of Delhi		1083002-01	\$ 7,500,000			03/29/10	03/29/10	96	\$7,500,000.00	loan	2.95%	Jan-31			
	70	Ward 2 of Livingston Parish- Loan #3		1063039-03	\$ 4,000,000			07/26/10	07/26/10	97	\$4,000,000.00	loan	2.95%	Apr-30			
48	71	Consolidated WWD#1 of Terrebonne Parish		1109002-01	\$ 1,900,000			12/29/10	12/29/10	98	\$1,880,809.12	loan	2.95%	Nov-30			
	72	Ward 2 of Livingston Parish- Loan #4		1063039-04	\$ 8,000,000			06/03/11	11/30/11	99	\$8,000,000.00	loan	2.95%	Apr-32			
	73	Alexandria Loan #2		1079001-02	\$ 7,610,000			10/11/11	10/11/11	100	\$7,610,000.00	loan	2.95%	May-32			
	74	Mansfield 2		10031009-02	\$ 1,550,000			11/10/11	11/10/11	101	\$1,550,000.00	loan	2.95%	Feb-32			
49	75	Avoyelles Ward One Water System		1009016-01	\$ 1,550,000			12/28/11	12/28/11	102	\$1,329,365.22	loan	2.95%	Dec-31			
	76	Mansfield 3		10031009-03	\$ 3,280,000			01/06/12	01/06/12	103	\$3,280,000.00	loan	2.95%	Feb-32			
50	77	City of Winnfield		1127012-01	\$ 2,500,000			02/16/12	02/16/12	104	\$2,500,000.00	loan	2.95%	Feb-33			
51	78	City of Pearl River		1103157-01	\$ 1,800,000			04/13/12	04/13/12	105	\$1,800,000.00	loan	2.95%	Mar-32			
	79	Town of Blanchard		1017006-02	\$ 8,400,000			08/16/12	08/16/12	106	\$8,400,000.00	loan	2.95%	Mar-33			
	80	Town of Blanchard		1017006-03	\$ 4,930,000			08/16/12	08/16/12	107	\$4,930,000.00	loan	2.95%	Aug-14			
52	81	South Vernon WWD #1		1115118	\$ 825,000			09/26/12	09/26/12	108	\$677,012.15	loan	2.95%	Aug-32			
53	82	Town of Lutcher		1093003	\$ 470,000			10/23/12	10/23/12	109	\$470,000.00	loan	2.95%	Mar-22			
					\$ 1,100,000			10/23/12	10/23/12		\$1,100,000.00	loan	2.95%	Mar-32			
	83	Town of Delhi		1083002-02	\$ 1,870,000			11/29/12	11/29/12	110	\$1,870,000.00	loan	2.95%	Jan-22			
54	84	Village of Loreauville		1117021	\$ 1,310,000			12/19/12	12/19/12	111	\$1,310,000.00	loan	2.95%	Oct-33			
	85	Town of Ville Platte, Loan #2		1039010-02	\$ 9,450,000		✓	12/19/12	12/19/12	112	\$9,450,000.00	loan	2.95%	May-33			
55	86	Beauregard WWD #3		101008-01	\$ 3,000,000			04/03/13	04/03/13	113	\$3,000,000.00	loan	2.95%	Jan-33			
56	87	Mount Hermon		1117021	\$ 700,000			12/13/12	05/08/13	114	\$700,000.00	loan	2.95%	Mar-33			
57	88	Town of Gramercy		109302-01	\$ 1,500,000			08/09/13	08/09/13	115	\$1,500,000.00	loan	2.95%	Mar-33			
58	89	City of Leesville		1115019-01	\$ 4,800,000			08/09/13	08/09/13	116	\$4,800,000.00	loan	2.95%	Aug-34			
59	90	Southeast Grant Water System, Inc.		1043015	\$ 351,200			08/14/13	08/14/13	117	\$351,200.00	loan	2.95%	Aug-33			
60	91	St. John the Baptist		1095003-01	\$ 5,500,000			09/18/13	09/18/13	118	\$5,500,000.00	loan	2.95%	Dec-33			
61	92	WWD #12 of Ward 3 of Calcasieu Parish		2019135	\$ 2,000,000			10/30/13	10/30/13	119	\$2,000,000.00	loan	2.95%	Sep-34			
62	93	Town of New Llano		1115022	\$ 1,000,000			02/04/14	02/04/14	120	\$1,000,000.00	loan	2.95%	Jun-35			
63	94	Consolidated WWD#1 of Jefferson Parish		1051004-01	\$ 3,550,000			02/05/14	02/05/14	121	\$3,550,000.00	loan	2.95%	Jun-34			
64	95	Town of Olla		1059004-01	\$ 500,000			03/12/14	03/12/14	122	\$500,000.00	loan	2.95%	Jan-00			
65	96	North Franklin Water Works, Inc.		1041003-01	\$ 3,750,000			03/12/14	03/12/14	123	\$3,750,000.00	loan	2.95%	Feb-34			
66	97	Weston Water System, Inc.		1049019-01	\$ 405,000			06/24/14	06/24/14	124	\$405,000.00	loan	2.95%	Jun-34			
67	98	Village of Estherwood		1001003-01	\$ 990,000			08/20/14	08/20/14	125	\$990,000.00	loan	2.95%	Jul-35			
68	99	Village of Mermentau		1001005-01	\$ 1,000,000			09/24/14	09/24/14	126	\$1,000,000.00	loan	2.95%	Aug-34			
	100	French Settlement Water Co., Inc. Loan #2		1105010-02	\$ 1,250,000			12/04/14	12/04/14	127	\$1,250,000.00	loan	2.95%	Apr-35			
	101	Consolidated WWD#1, Terrebonne Parish		1109002-02	\$ 4,200,000			12/23/14	12/23/14	128	\$4,200,000.00	loan	2.95%	Nov-35			
69	102	Town of Bernice		1111001-01	\$ 255,000			02/03/15	02/03/15	129	\$255,000.00	loan	2.95%	Dec-34			
	103	City of Natchitoches		1069007-03	\$ 2,000,000			05/07/15	05/07/15	130	\$2,000,000.00	loan	2.95%	Dec-35			
70	104	Town of Homer		1027003-01	\$ 3,600,000			05/21/15	05/21/15	131	\$3,600,000.00	loan	2.95%	Dec-35			
71	105	Town of Greenwood		1017014-01	\$ 5,250,000			06/04/15	06/04/15	132	\$5,250,000.00	loan	2.95%	Nov-35			
72	106	Rambin-Wallace Water System, Inc.		1031012-01	\$ 235,000			06/16/15	06/16/15	133	\$235,000.00	loan	2.95%	May-35			
73	107	Rapides WWD #3		1079017-01	\$ 5,000,000			06/25/15	06/25/15	134	\$5,000,000.00	loan	2.95%	Aug-36			
74	108	Sabine WWD #1		1085036-01	\$ 1,000,000			06/25/15	06/25/15	135	\$1,000,000.00	loan	2.95%	Mar-35			
75	109	St. Bernard Parish		1087001-01	\$ 11,000,000			06/25/15	06/25/15	136	\$11,000,000.00	loan	2.95%	Jun-36			
76	110	East Central Venon Water System, Inc.		1115117-01	\$ 1,515,000			06/25/15	06/25/15	137	\$1,515,000.00	loan	2.95%	Jun-35			
		Total			\$292,342,420	\$ 24,077,000					\$313,726,636.76						
						\$316,419,420											
		Bold indicates completed projects.															

## PROJECT DESCRIPTIONS

Thirteen (13) loans were approved for funding during the annual period of this report (July 1, 2014 through June 30, 2015) and include the following:

***Village of Estherwood, Loan No. 1 (\$990,000), Loan Closed on 8/20/14***

The Village of Estherwood will use its DWRLF loan to install a new 150-Gallon Per Minute (GPM) water treatment facility on existing Village-owned property to replace the Village's defunct existing water treatment plant that was abandoned in 2008. They have been purchasing water since 2008 from Egan Water Corp. (formerly Southwest Acadia Water Corp). The new treatment facility will consist of a new metal building, pressure filter and softener system (to treat water with high iron content), a backwash settling basin, new electric controls, and new chlorine and potassium permanganate feed systems. The system has 2 existing wells that will be rehabbed as part of this project to provide water to the new treatment facility so that they are no longer a purchased water system.

***Village of Mermentau, Loan No. 1 (\$1,000,000), Loan Closed on 9/24/14***

The Village of Mermentau will use its DWRLF loan to install a new 150-GPM water treatment facility on existing Village-owned property to replace the Village's defunct existing water treatment plant that was abandoned in 2008. They have been purchasing water since 2008 from Egan Water Corp. (formerly Southwest Acadia Water Corp). The new treatment facility will consist of a new metal building, pressure filter and softener system (to treat water with high iron content), a backwash settling basin, new electric controls, and new chlorine and potassium permanganate feed systems. The system has 2 existing wells that will be rehabbed as part of this project to provide water to the new treatment facility so that they are no longer a purchased water system.

***French Settlement Water Company – Hammond Heights, Loan No. 2 (\$1,250,000), Loan Closed 12/3/14***

French Settlement Water Company (FSWC) will use its DWRLF loan for 2 projects including one to install a new water well at the FSWC with chemical feed equipment and a hydro pneumatic tank, replace 7,700-ft. of 6-in. PVC water main, and install a 4-in. PVC main to provide an emergency connection to Colyell Homesites (also owned by FSWC). The second project includes the installation of a 2-mile long 6-inch PVE water main emergency connection between the Velma and Bankston Water Systems (both also owned by FSWC).

***Terrebonne Parish Consolidated WWKs District No. 1, Loan No. 2 (\$4,200,000), Loan Closed 12/23/14***

Terrebonne Parish Consolidated WWKs District No. 1 will use its DWRLF loan to relocate and upgrade high service pumps at the Schriever Water Plant, provide interconnections between trunk mains and the City of Houma distribution system, provide chlorine booster stations before Houma's distribution system, and provide distribution loops south of Houma. The project will eventually result in closing/consolidation of the City of Houma (8 MGD) Water System

***Town of Bernice Water System, Loan No. 1 (\$255,000), Loan Closed 2/3/15***

The Town of Bernice will use its DWRLF loan to rehabilitate and repaint 2 existing elevated storage tanks to comply with their latest DHH Sanitary Survey Violations. The total project cost is \$505,000, but the town is

using a \$250,000 grant from Delta Regional Authority to partially pay for the project. The project also includes replacing the existing probe-style Water Level Indicator on the second elevated storage tank (EST No. 2) with a pressure transducer system and changing the communication method between the tank level system and the wells to cellular technology.

***City of Natchitoches, Loan No. 3 (\$2,000,000), Loan Closed 5/7/15***

The City of Natchitoches will use its DWRLF loan to construct a decant clarifier, pump station with wet well, gravity and pressure mains, chemical feed equipment, electrical improvements, and other related items. All improvements are proposed at the existing water treatment facility and are necessary to properly handle, treat, and dispose of the filter backwash effluent from the water treatment facility into Chaplin's Lake within the required permit levels allowed by the US-ACE and LDEQ.

***Rambin-Wallace Water System, Loan No. 1 (\$235,000), Loan Closed 5/16/15***

Rambin-Wallace Water System will use its DWRLF loan to replace one of two existing 65,000-gal GSTs and rehab the 2nd GST with some structural repairs and recoating, remove the existing pressure tank, booster pumps, and control panels, install a Variable Frequency Drive (VFD) booster pump system, add a new pressure header and flow meter, and upgrade the plant and well control system to integrate with their existing SCADA system. The system will also upgrade a second booster pump station by replacing the single pump with two new pumps, a new header, and VFD controls.

***Town of Homer Water System, Loan No. 1 (\$3,600,000), Loan Closed 5/22/15***

The Town of Homer Water System will use its DWRLF loan to install a new water well, replace approximately 70,000- linear feet of 6-in. to 8-in. water mains, reconnect 600 service connections, install 150 gate valves, 25 tapping sleeves and valves, 108 fire hydrants, 20 tie-ins, replace a 70,000-gal ground storage tank (GST) at their water treatment plant (WTP), install a generator, upgrade their SCADA system, install high service pumps and motors, upgrade the WTP security system, and other miscellaneous improvements at the WTP. A second loan for approximately \$3,000,000 will be sought for a 2nd Phase after construction of these Phase 1 items.

***Town of Greenwood Water System, Loan No. 1 (\$5,250,000), Loan Closed 6/4/15***

The Town of Greenwood Water System will use its DWRLF loan to construct a 12-Million Gallon Per Day (MGD) Membrane Filtration Plant including a new building, water storage facilities, electrical control systems, and a raw water settling basin. The system will also install tube settlers, a sludge removal system, and chemical injection equipment, as well as construct a new transfer pumping facility with additional plant piping. Improvements will also be made to their distribution system.

***St. Bernard Parish Waterworks, Loan No. 1 (\$11,000,000), Loan Closed 6/25/15***

St. Bernard Parish Waterworks will use its DWRLF loan to replace approximately 11 existing aged and deteriorated cast iron waterline segments with new PVC pipe in the St. Bernard Parish Waterworks distribution system. The proposed project will also include the installation of a 20-in. diameter PVC waterline extension along HWY 46 in St. Bernard, LA. All portions of the project will include the addition of fire hydrants, valves, service lines, meters, and fittings along the length of the new and replaced water lines. A second loan for \$10,000,000 will be sought for a 2<sup>nd</sup> phase of additional water line replacements throughout their distribution system once Phase 1's construction is finished.

***Waterworks Dist. No. 3 of Rapides Parish, Loan No. 1 (\$5,000,000), Loan Closed 6/25/15***

Waterworks District No. 3 of Rapides Parish will use its DWRLF loan to install color removal equipment at the Camp Beauregard Well Site to remove naturally occurring color from Well No. 2 and Well No. 7. The treatment equipment will consist of four (4) conventional 10-ft. diameter pressure filters located at the existing GST site. The project also includes the installation of two new 750,000-gal. concrete GSTs to replace two existing steel GSTs at the Camp Livingston Surface Water Treatment Plant Site.

***Sabine Parish Water District No. 1, Loan No. 1 (\$1,000,000), Loan Closed 6/25/15***

Sabine Parish Water District No. 1 will use its DWRLF loan to install a new water well and replace small diameter water mains in their distribution system with larger (4-in. and 6-in.) mains.

***East Central Vernon Water System, Inc., Loan No. 1 (\$1,515,000), Loan Closed 6/25/15***

East Central Vernon Water System will use its DWRLF loan to construct a new water well (Well No. 4), a new 150,000-gal. EST, chlorination system, polyphosphate injection system, site piping/tie-ins to existing water system, fencing, and other miscellaneous related work.

## **EXHIBITS**

**Exhibit I  
Drinking Water Binding Commitments  
State Fiscal Year 2015**

Recipient	Binding Commitment Date	Assistance Amount by Population		Rate/Term
		< 10,000	> 10,000	
Village of Estherwood	08/20/14	\$ 990,000		3.45%/20years
Village of Mermentau	09/24/14	\$1,000,000		3.45%/20years
French Settlement Water Co., Inc. Loan #2	12/04/14		\$1,250,000	3.45%/20years
Consolidated WWD #1, Terrebonne Parish	12/23/14		\$4,200,000	3.45%/20years
Town of Bernice	02/03/15	\$ 255,000		3.45%/20years
City of Natchitoches, Loan #3	05/07/15		\$2,000,000	3.45%/20years
Town of Homer	05/21/15	\$3,600,000		3.45%/20years
Town of Greenwood	06/04/15	\$5,250,000		3.45%/20years
Rambin-Wallace Water System, Inc.	06/16/15	\$ 235,000		3.45%/20years
Rapides WWD #3	06/25/15		\$5,000,000	3.45%/20years
Sabine WWD #1	06/25/15	\$1,000,000		3.45%/20years
St. Bernard Parish	06/25/15		\$11,000,000	3.45%/20years
East Central Vernon Water System, Inc.	06/25/15	\$1,515,000		3.45%/20years
<b>Total</b>		<b>\$13,845,000</b>	<b>\$23,450,000</b>	

**Exhibit II  
DWRLF Needs Categories for Closed Loans  
State Fiscal Year 2015**

Loan Recipient	Storage	Source	Distribution	Treatment
Village of Estherwood		\$73,333		\$916,667
Village of Mermentau		\$90,000		\$910,000
French Settlement Water Co., Inc. Loan #2		\$596,817	\$620,027	\$33,156
Consolidated WWD #1, Terrebonne Parish			\$4,200,000	
Town of Bernice	\$255,000			
City of Natchitoches, Loan #3				\$2,000,000
Town of Homer	\$357,363	\$194,925	\$2,995,732	\$51,980
Town of Greenwood	\$197,825	\$197,826	\$251,608	\$4,602,741
Rambin-Wallace Water System, Inc.	\$81,632			\$153,368
Rapides WWD #3	\$2,278,869			\$2,721,131
Sabine WWD #1		\$696,970	\$303,030	
St. Bernard Parish			\$11,000,000	
East Central Vernon Water System, Inc.	\$589,796	\$925,204		
<b>Total</b>	<b>\$3,760,485</b>	<b>\$2,775,075</b>	<b>\$19,370,397</b>	<b>\$11,389,043</b>

**Exhibit III**  
**Disbursements by Project/Fiscal Quarter**  
**July 1, 2014 through June 30, 2015**

Project	Disbursement Date	Disbursement Amount	Loan Disbursements by Quarter			
			Quarter 1	Quarter 2	Quarter 3	Quarter 4
Alexandria 1079001-02	06/26/15	\$470,342.73				\$470,342.73
	<b>Total</b>	<b>\$470,342.73</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$470,342.73</b>
Beauregard WWD #3 1011008-01	8/19/2014	\$118,820.53	\$118,820.53			
	9/19/2014	\$78,425.56	\$78,425.56			
	10/31/2014	\$147,753.25		\$147,753.25		
	12/2/2014	\$32,786.42		\$32,786.42		
	1/16/2015	\$32,243.20			\$32,243.20	
	4/24/2015	\$59,256.26				\$59,256.26
	6/26/2015	\$57,998.27				\$57,998.27
	<b>Total</b>	<b>\$527,283.49</b>	<b>\$197,246.09</b>	<b>\$180,539.67</b>	<b>\$32,243.20</b>	<b>\$117,254.53</b>
Town of Bernice 1111001-01	2/3/2015	\$28,032.12			\$28,032.12	
	6/2/2015	\$22,988.28				\$22,988.28
	6/26/2015	\$22,646.82				\$22,646.82
	<b>Total</b>	<b>\$73,667.22</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$28,032.12</b>	<b>\$45,635.10</b>
Blanchard 1017006-02	8/8/2014	\$805.08	\$805.08			
	9/16/2014	\$262.62	\$262.62			
	12/23/2014	\$35,297.00		\$35,297.00		
	2/20/2015	\$33,133.21			\$33,133.21	
	<b>Total</b>	<b>\$69,497.91</b>	<b>\$1,067.70</b>	<b>\$35,297.00</b>	<b>\$33,133.21</b>	<b>\$0.00</b>
Blanchard 1017006-03	09/16/14	\$294,155.77	\$294,155.77			
	12/23/14	\$78,646.69		\$78,646.69		
	02/20/15	\$560,786.06			\$560,786.06	
	<b>Total</b>	<b>\$933,588.52</b>	<b>\$294,155.77</b>	<b>\$78,646.69</b>	<b>\$560,786.06</b>	<b>\$0.00</b>
Calcasieu WWD #12 2019135-01	8/14/2014	\$75,610.54	\$75,610.54			
	2/20/2015	\$222,132.64			\$222,132.64	
	<b>Total</b>	<b>\$297,743.18</b>	<b>\$75,610.54</b>	<b>\$0.00</b>	<b>\$222,132.64</b>	<b>\$0.00</b>
Delhi 1083002-02	8/13/2014	\$33,808.36	\$33,808.36			
	10/7/2014	\$158,911.62		\$158,911.62		
	12/24/2014	\$89,505.94		\$89,505.94		
	<b>Total</b>	<b>\$282,225.92</b>	<b>\$33,808.36</b>	<b>\$248,417.56</b>	<b>\$0.00</b>	<b>\$0.00</b>
East Central Vernon Water System 1115117-01	6/25/2015	\$111,695.00				\$111,695.00
	<b>Total</b>	<b>\$111,695.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$111,695.00</b>
Estherwood 1049019-01	8/20/2014	\$89,428.35	\$89,428.35			
	1/23/2015	\$41,630.02			\$41,630.02	
	2/10/2015	\$41,552.31			\$41,552.31	
	3/20/2015	\$185,397.70			\$185,397.70	
	4/14/2015	\$102,575.20				\$102,575.20
	6/2/2015	\$61,263.47				\$61,263.47
	6/5/2015	\$65,359.03				\$65,359.03
	<b>Total</b>	<b>\$587,206.08</b>	<b>\$89,428.35</b>	<b>\$0.00</b>	<b>\$268,580.03</b>	<b>\$229,197.70</b>
French Settlement, Loan 2 1105010-02	12/4/2014	\$67,417.86		\$67,417.86		
	2/20/2015	\$53,693.52			\$53,693.52	
	4/24/2015	\$109,395.77				\$109,395.77
	5/12/2015	\$25,427.64				\$25,427.64
	<b>Total</b>	<b>\$255,934.79</b>	<b>\$0.00</b>	<b>\$67,417.86</b>	<b>\$53,693.52</b>	<b>\$134,823.41</b>

**Exhibit III  
Disbursements by Project/Fiscal Quarter  
July 1, 2014 through June 30, 2015**

<b>Gramercy 109302-01</b>	08/14/14	\$16,830.20	\$16,830.20			
	12/02/14	\$35,640.75		\$35,640.75		
	01/16/15	\$102,606.41			\$102,606.41	
	<b>Total</b>	<b>\$155,077.36</b>	<b>\$16,830.20</b>	<b>\$35,640.75</b>	<b>\$102,606.41</b>	<b>\$0.00</b>
<b>Town of Greenwood 1017014-01</b>	6/4/2015	\$51,268.50				\$51,268.50
	<b>Total</b>	<b>\$51,268.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$51,268.50</b>
<b>Homer 1027003-01</b>	5/12/2015	\$119,123.00				\$119,123.00
	6/26/2015	\$42,750.20				\$42,750.20
	<b>Total</b>	<b>\$161,873.20</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$161,873.20</b>
<b>Jefferson Parish 1051004-01</b>	12/12/2014	\$1,149,780.24		\$1,149,780.24		
	<b>Total</b>	<b>\$1,149,780.24</b>	<b>\$0.00</b>	<b>\$1,149,780.24</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Leesville 1115019-01</b>	08/14/14	\$368,709.15	\$368,709.15			
	10/07/14	\$81,608.93		\$81,608.93		
	11/07/14	\$76,212.59		\$76,212.59		
	12/19/14	\$27,710.44		\$27,710.44		
	01/06/15	\$150,900.88			\$150,900.88	
	02/10/15	\$8,450.77			\$8,450.77	
	<b>Total</b>	<b>\$713,592.76</b>	<b>\$368,709.15</b>	<b>\$185,531.96</b>	<b>\$159,351.65</b>	<b>\$0.00</b>
<b>Livingston Parish Ward 2 Loan 4 #1063039-04</b>	08/14/14	\$205,072.01	\$205,072.01			
	09/19/14	\$17,300.44	\$17,300.44			
	10/14/14	\$10,653.19		\$10,653.19		
	11/14/14	\$127,954.46		\$127,954.46		
	12/12/14	\$67,948.28		\$67,948.28		
	01/16/15	\$22,053.57			\$22,053.57	
	03/20/15	\$54,352.96			\$54,352.96	
	06/12/15	\$22,114.10				\$22,114.10
<b>Total</b>	<b>\$527,449.01</b>	<b>\$222,372.45</b>	<b>\$206,555.93</b>	<b>\$76,406.53</b>	<b>\$22,114.10</b>	
<b>Loreauville 1045007-01</b>	9/5/2014	\$39,731.58	\$39,731.58			
	10/14/2014	\$18,491.69		\$18,491.69		
	12/12/2014	\$22,991.14		\$22,991.14		
	3/27/2015	\$18,593.06			\$18,593.06	
	4/24/2015	\$61,824.58				\$61,824.58
	6/26/2015	\$12,432.10				\$12,432.10
	<b>Total</b>	<b>\$174,064.15</b>	<b>\$39,731.58</b>	<b>\$41,482.83</b>	<b>\$18,593.06</b>	<b>\$74,256.68</b>
<b>Town of Lutcher 1093003-1A</b>	10/31/2014	\$11,010.03		\$11,010.03		
	11/18/2014	\$27,282.67		\$27,282.67		
	12/30/2014	\$16,319.03		\$16,319.03		
	2/10/2015	\$38,399.30			\$38,399.30	
	3/5/2015	\$14,973.49			\$14,973.49	
	3/27/2015	\$26,243.18			\$26,243.18	
	4/14/2015	\$56,736.27				\$56,736.27
	6/19/2015	\$75,699.76				\$75,699.76
	<b>Total</b>	<b>\$266,663.73</b>	<b>\$0.00</b>	<b>\$54,611.73</b>	<b>\$79,615.97</b>	<b>\$132,436.03</b>
<b>Town of Lutcher 1093003-1B</b>	10/31/2014	\$25,690.08		\$25,690.08		
	11/18/2014	\$63,659.56		\$63,659.56		
	12/30/2014	\$38,077.73		\$38,077.73		
	2/10/2015	\$89,598.37			\$89,598.37	
	3/6/2015	\$34,938.13			\$34,938.13	
	3/27/2015	\$61,234.07			\$61,234.07	
	4/14/2015	\$132,384.64			\$132,384.64	
	6/19/2015	\$176,632.78			\$176,632.78	
<b>Total</b>	<b>\$622,215.36</b>	<b>\$0.00</b>	<b>\$127,427.37</b>	<b>\$494,787.99</b>	<b>\$0.00</b>	

**Exhibit III  
Disbursements by Project/Fiscal Quarter  
July 1, 2014 through June 30, 2015**

<b>Mansfield 10031009-01B</b>	8/19/2014	\$3,450.00	\$3,450.00			
	10/31/2014	\$31,978.85		\$31,978.85		
	2/24/2015	\$2,471.45			\$2,471.45	
	<b>Total</b>	<b>\$37,900.30</b>	<b>\$3,450.00</b>	<b>\$31,978.85</b>	<b>\$2,471.45</b>	<b>\$0.00</b>
<b>Mermentau 1001005-01</b>	9/24/2014	\$93,183.47	\$93,183.47			
	1/23/2015	\$50,097.60			\$50,097.60	
	2/19/2015	\$42,359.69			\$42,359.69	
	3/20/2015	\$185,783.13			\$185,783.13	
	4/14/2015	\$101,890.65				\$101,890.65
	5/12/2015	\$103,372.45				\$103,372.45
	6/3/2015	\$93,933.32				\$93,933.32
<b>Total</b>	<b>\$670,620.31</b>	<b>\$93,183.47</b>	<b>\$0.00</b>	<b>\$278,240.42</b>	<b>\$299,196.42</b>	
<b>Morgan City 1W 1101005-01W</b>	1/16/2015	\$10,800.00			\$10,800.00	
	<b>Total</b>	<b>\$10,800.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$10,800.00</b>	<b>\$0.00</b>
<b>Mount Hermon 1117021</b>	10/31/2014	\$31,274.61		\$31,274.61		
	<b>Total</b>	<b>\$31,274.61</b>	<b>\$0.00</b>	<b>\$31,274.61</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>City of Natchitoches 1069007-3</b>	5/7/2015	\$144,291.87				\$144,291.87
	<b>Total</b>	<b>\$144,291.87</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$144,291.87</b>
<b>New Llano 1115022</b>	11/7/2014	\$102,704.63		\$102,704.63		
	12/30/2014	\$30,499.07		\$30,499.07		
	<b>Total</b>	<b>\$133,203.70</b>	<b>\$0.00</b>	<b>\$133,203.70</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>North Franklin WW, Inc. 1041003-01</b>	8/14/2014	\$186,700.98	\$186,700.98			
	8/19/2014	\$2,235.00	\$2,235.00			
	9/19/2014	\$65,138.94	\$65,138.94			
	10/31/2014	\$184,266.17		\$184,266.17		
	12/23/2014	\$149,166.04		\$149,166.04		
	1/16/2015	\$103,173.79			\$103,173.79	
	2/20/2015	\$159,432.74			\$159,432.74	
	4/24/2015	\$12,568.80				\$12,568.80
	6/12/2015	\$35,763.20				\$35,763.20
<b>Total</b>	<b>\$898,445.66</b>	<b>\$254,074.92</b>	<b>\$333,432.21</b>	<b>\$262,606.53</b>	<b>\$48,332.00</b>	
<b>Town of Olla 1059004-01</b>	12/23/2014	\$92,146.79		\$92,146.79		
	1/16/2015	\$72,191.08			\$72,191.08	
	4/14/2015	\$134,267.41				\$134,267.41
<b>Total</b>	<b>\$298,605.28</b>	<b>\$0.00</b>	<b>\$92,146.79</b>	<b>\$72,191.08</b>	<b>\$134,267.41</b>	
<b>Rambin-Wallace 1031012-01</b>	6/12/2015	\$22,960.00				\$22,960.00
	<b>Total</b>	<b>\$22,960.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$22,960.00</b>
<b>Rapides Parish WWD #3 1079017-01</b>	6/25/2015	\$408,712.80				\$408,712.80
	<b>Total</b>	<b>\$408,712.80</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$408,712.80</b>
<b>Sabine Parish WWD #1 1085036-01</b>	6/25/2015	\$94,023.00				\$94,023.00
	<b>Total</b>	<b>\$94,023.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$94,023.00</b>
<b>St. Bernard Parish 1087001-01</b>	6/25/15	\$307,271.50				\$307,271.50
	<b>Total</b>	<b>\$307,271.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$307,271.50</b>
<b>St. John the Baptist 1095003-01</b>	8/14/14	\$172,615.11	\$172,615.11			
	12/2/14	\$235,050.27		\$235,050.27		
	2/20/14	\$112,543.84			\$112,543.84	
	6/26/15	\$341,577.12				\$341,577.12
<b>Total</b>	<b>\$861,786.34</b>	<b>\$172,615.11</b>	<b>\$235,050.27</b>	<b>\$112,543.84</b>	<b>\$341,577.12</b>	

**Exhibit III**  
**Disbursements by Project/Fiscal Quarter**  
**July 1, 2013 through June 30, 2014**

Terrebonne Parish, Loan 2	12/23/14	\$311,562.48		\$311,562.48		
1109002-02						
	<b>Total</b>	<b>\$311,562.48</b>	<b>\$0.00</b>	<b>\$311,562.48</b>	<b>\$0.00</b>	<b>\$0.00</b>
Ville Platte	8/13/14	\$150,622.48	\$150,622.48			
1039010-02	8/19/2014	\$118,855.89	\$118,855.89			
	9/19/2014	\$172,143.79	\$172,143.79			
	10/29/2014	\$104,702.41		\$104,702.41		
	11/11/2014	\$216,808.25		\$216,808.25		
	1/23/2015	\$88,959.31			\$88,959.31	
	2/20/2015	\$100,262.30			\$100,262.30	
	3/20/2015	\$91,919.75			\$91,919.75	
	6/24/2015	\$562,879.30				\$562,879.30
	<b>Total</b>	<b>\$1,607,153.48</b>	<b>\$441,622.16</b>	<b>\$321,510.66</b>	<b>\$281,141.36</b>	<b>\$562,879.30</b>
Weston Water System, Inc.	9/5/2014	\$9,117.00	\$9,117.00			
1049019-01	10/3/2014	\$34,200.00		\$34,200.00		
	11/7/2014	\$111,644.50		\$111,644.50		
	12/12/2014	\$7,650.00		\$7,650.00		
	2/10/2015	\$104,289.55			\$104,289.55	
	5/1/2015	\$32,358.06				\$32,358.06
	<b>Total</b>	<b>\$299,259.11</b>	<b>\$9,117.00</b>	<b>\$153,494.50</b>	<b>\$104,289.55</b>	<b>\$32,358.06</b>
Winnfield	9/5/2014	\$29,379.38	\$29,379.38			
1127012-01	12/12/2014	\$2,471.26		\$2,471.26		
	2/10/2015	\$17,713.33			\$17,713.33	
	3/10/2015	\$10,731.47			\$10,731.47	
	<b>Total</b>	<b>\$60,295.44</b>	<b>\$29,379.38</b>	<b>\$2,471.26</b>	<b>\$28,444.80</b>	<b>\$0.00</b>
<b>TOTAL LOAN DISBURSEMENTS</b>		<b>\$13,629,335.03</b>	<b>\$2,342,402.23</b>	<b>\$4,057,474.92</b>	<b>\$3,282,691.42</b>	<b>\$3,946,766.46</b>

**Exhibit IV**  
**Drinking Water Revolving Loan Fund**  
**Loan Principal Repayments**  
**July 1, 2014 through June 30, 2015**

<b>REPAYMENTS</b>	
<b>Loan</b>	<b>Repayment During SFY2015</b>
1 City of Alexandria #1B	\$149,000.00
2 City of Alexandria #2	\$199,000.00
3 Ascension Consolidated Utilites District, Loan 1B	\$30,000.00
4 Avoyelles Ward 1 Water System	\$37,555.65
5 City of Baker, 1A	\$44,000.00
6 City of Baker, Loan 1B	\$47,000.00
7 Baldwin	\$72,000.00
8 Bayou Des Cannes Water System, Inc. Loan 1B	\$65,000.00
9 Beaugard WWD#3	\$73,000.00
10 City of Blanchard Loan 1B	\$115,000.00
11 City of Blanchard Loan 2	\$265,000.00
12 City of Blanchard Loan 3	\$1,000.00
13 Bogalusa 1A	\$41,000.00
14 Town of Bogalusa 1B	\$127,000.00
15 Buckeye Water District #50	\$16,000.00
16 Buckeye Loan 2 - A	\$14,000.00
17 Buckeye Loan 2 - B	\$19,000.00
18 Calcasieu #8-1A	\$9,000.00
19 Calcasieu #8-1B	\$11,000.00
20 Calcasieu #8-1C	\$9,000.00
21 Colyell Community WS	\$47,000.00
22 Colyell Community WS Loan 2	\$38,000.00
23 Delhi	\$295,000.00
24 Delhi Loan 2	\$129,000.00
25 DeSoto Water District	\$122,000.00
26 DeSoto Water District, Loan 2B	\$70,000.00
27 East Allen Parish Water District, 1-B	\$35,000.00
28 City of Franklin	\$83,000.00
29 French Settlement	\$32,000.00
30 Gardner 1B	\$37,000.00
31 Town of Gramercy	\$16,000.00
32 Jefferson Parish	\$34,000.00
33 Kolin-Ruby Wise Water System, Inc. 1-B	\$17,000.00
34 LAWCO - New Iberia	\$270,000.00
35 LAWCO - New Iberia #2	\$145,000.00
36 Livingston Ward 2 - Loan 3	\$181,000.00
37 Livingston Ward 2 - Loan 4	\$152,000.00
38 Town of Loreauville	\$21,000.00

**Exhibit IV-(continued)**  
**Drinking Water Revolving Loan Fund**  
**Loan Principal**  
**July 1, 2014 through June 30, 2015**

<b>REPAYMENTS</b>		
<b>Loan</b>	<b>Repayment During SFY2015</b>	
39	Town of Lutcher 1B	\$21,000.00
40	Mansfield, Loan 1B	\$133,000.00
41	Mansfield, Loan 2	\$41,000.00
42	Mansfield, Loan 3	\$99,000.00
43	Monroe #1	\$130,000.00
44	Morgan City 1B	\$70,000.00
45	Morgan City 1W	\$50,000.00
46	Mount Hermon	\$17,000.00
47	City of Natchitoches 2A	\$44,000.00
48	Natchitoches, City of, Loan 2B	\$132,000.00
49	Natchitoches Parish WWD #2	\$133,000.00
50	North Franklin	\$39,000.00
51	Oakdale	\$90,000.00
52	Town of Olla	\$1,000.00
53	Town of Pearl River	\$50,000.00
54	Point Wilhite	\$42,000.00
55	Quitman	\$25,000.00
56	Ruston 1A	\$42,900.00
57	Ruston 1B	\$57,000.00
58	Saint John the Baptist	\$17,000.00
59	Savoy Swords	\$39,000.00
60	Savoy Swords, 2B	\$26,000.00
61	Shreveport #1	\$3,820,000.00
62	Shreveport #2	\$3,820,000.00
63	Shreveport#3	\$3,025,000.00
64	Shreveport 4A	\$42,000.00
65	Shreveport #4B	\$373,000.00
66	South Vernon	\$25,000.00
67	Town of Slaughter #1	\$33,000.00
68	Town of Slaughter #2	\$28,000.00
69	Southeast Grant	\$8,000.00
70	Southwest Allen Parish WWD#2, 1-B	\$31,000.00
71	City of Springhill	\$307,000.00
72	WWD#1 of Terrebonne	\$73,000.00
73	City of Thibodaux 1-B	\$218,000.00

Exhibit IV-(continued)  
Drinking Water Revolving Loan Fund  
Loan Principal  
July 1, 2014 through June 30, 2015

<b>REPAYMENTS</b>	
<b>Loan</b>	<b>Repayment During SFY2015</b>
74 United Water System, Inc.	\$15,000.00
75 United Water System, Inc., 2B	\$26,000.00
76 City of Ville Platte, 1-A	\$72,000.00
77 City of Ville Platte, 1-B	\$144,000.00
78 City of Ville Platte, 2	\$144,000.00
79 City of Walker 1-B	\$16,000.00
80 Westlake, City of #2B	\$88,000.00
81 Winnfield	\$64,000.00
	\$16,738,455.65

Exhibit V  
Set-Aside Chart

			NEW SET-ASIDES RESERVED					SPECIFIED FUNDS				RECLAIMED SPECIFIED FROM AVAILABLE UNSPECIFIED				
GRANT YEAR	GRANT NUMBER	GRANT AMOUNT	4% ADMIN.	2% TECH	10% STATE	15% LOCAL	TOTAL Grant Yr.	% Reserved	4% ADMIN.	2% TECH	10% STATE	15% LOCAL	4% ADMIN.	2% TECH	10% STATE	15% LOCAL
	FS99698-															
1997	1	20,420,300	\$816,812	408,406	2,042,030	2,042,030	5,309,278	26.00%	700,403	168,100	1,396,523	2,042,030	-	-	-	-
1998	2	9,949,200	\$397,968	198,984	994,920	-	1,591,872	16.00%	397,968	161,100	994,920	-	-	-	-	-
1999	3	10,427,700	\$417,108	208,554	1,042,770	97,684	1,766,116	16.94%	415,737	161,100	968,406	97,684	-	-	-	-
2000	4	10,837,400	\$433,496	216,748	1,083,740	-	1,733,984	16.00%	425,511	161,100	1,083,740	-	-	-	-	-
2001	5	18,934,800	\$757,392	378,696	1,893,480	-	3,029,568	16.00%	-	-	-	-	-	-	-	-
2003	6	8,004,100	\$320,164	160,082	800,410	11,487	1,292,143	16.14%	290,000	160,082	800,410	11,487	-	9,918	39,590	-
2004	7	8,303,100	\$332,124	166,062	830,310	-	1,328,496	16.00%	332,124	166,062	830,310	-	67,876	3,938	69,690	-
2005	8	8,285,500	\$331,420	165,710	828,550	-	1,325,680	16.00%	230,000	165,710	750,000	-	-	19,290	-	-
2006	9	11,658,600	\$466,344	233,172	1,165,860	-	1,865,376	16.00%	-	233,172	1,165,860	-	-	3,490	109,140	-
2007	10	11,659,000	\$466,360	233,180	1,165,900	-	1,865,440	16.00%	-	233,180	-	-	-	3,482	-	-
2008	11	11,540,000	\$461,600	230,800	1,154,000	-	1,846,400	16.00%	461,600	200,000	1,154,000	-	38,400	-	146,000	-
2009	ARRA	27,626,000	\$1,105,040	552,520	2,762,600	-	4,420,160	16.00%	315,000	-	-	-	-	-	-	-
2009	12	11,540,000	\$461,600	230,800	1,154,000	-	1,846,400	16.00%	461,600	-	1,154,000	-	138,400	-	146,000	-
2010	13	25,649,000	\$1,025,960	512,980	2,564,900	-	4,103,840	16.00%	525,000	100,000	1,800,000	-	-	-	-	-
2011	14	17,798,000	\$711,920	355,960	1,779,800	415,000	3,262,680	18.33%	450,000	100,000	1,600,000	415,000	-	-	-	-
2012	15	16,962,000	\$678,480	339,240	1,696,200	425,000	3,138,920	18.51%	425,000	125,000	1,696,200	425,000	50,000	-	153,800	-
2013	16	15,914,000	\$636,560	318,280	1,591,400	425,000	2,971,240	18.67%	520,000	250,000	1,591,400	425,000	-	-	183,600	-
2014	17	12,127,000	\$485,080	242,540	1,212,700	475,000	2,415,320	19.92%	485,080	242,540	1,212,700	475,000	29,920	7,460	162,300	-
Totals		257,635,700	\$10,305,428	5,152,714	25,763,570	3,891,201	45,112,913									

			TOTAL SET-ASIDES SPECIFIED					NEW UNSPECIFIED FUNDS*				CUMULATIVE AVAILABLE UNSPECIFIED					
GRANT YEAR	GRANT NUMBER	GRANT AMOUNT	4% ADMIN.	2% TECH	10% STATE	15% LOCAL	TOTAL FOR YR	% Specified	4% ADMIN.	2% TECH	10% STATE	15% LOCAL	4% ADMIN.	2% TECH	10% STATE	15% LOCAL	TOTAL
	FS99698-																
1997	1	20,420,300	700,403	168,100	1,396,523	2,042,030	4,307,056	21.09%	116,409	240,306	645,507	-	116,409	240,306	645,507	-	1,002,222
1998	2	9,949,200	397,968	161,100	994,920	-	1,553,988	15.62%	-	37,884	-	-	116,409	278,190	645,507	-	1,040,106
1999	3	10,427,700	415,737	161,100	968,406	97,684	1,642,927	15.76%	1,371	47,454	74,364	-	117,780	325,644	719,871	-	1,163,295
2000	4	10,837,400	425,511	161,100	484,215	-	1,070,826	9.88%	7,985	55,648	599,525	-	125,765	381,292	1,319,396	-	1,826,453
2001	5	18,934,800	-	-	-	-	-	0.00%	757,392	378,696	1,893,480	-	883,157	759,988	3,212,876	-	4,856,021
2003	6	8,004,100	290,000	170,000	840,000	11,487	1,311,487	16.39%	30,164	-	-	-	913,321	750,070	3,173,286	-	4,836,677
2004	7	8,303,100	400,000	170,000	900,000	-	1,470,000	17.70%	-	-	-	-	845,445	746,132	3,103,596	-	4,695,173
2005	8	8,285,500	230,000	185,000	750,000	-	1,165,000	14.06%	101,420	-	78,550	-	946,865	726,842	3,182,146	-	4,855,853
2006	9	11,658,600	-	236,662	1,275,000	-	1,511,662	12.97%	466,344	-	-	-	1,413,209	723,352	3,073,006	-	5,209,567
2007	10	11,659,000	-	236,662	-	-	236,662	2.03%	466,360	-	1,165,900	-	1,879,569	719,870	4,238,906	-	6,838,345
2008	11	11,540,000	500,000	200,000	1,300,000	-	2,000,000	17.33%	-	30,800	-	-	1,841,169	750,670	4,092,906	-	6,684,745
2009	ARRA	27,626,000	315,000	-	-	-	315,000	1.14%	790,040	552,520	2,762,600	-	2,631,209	1,303,190	6,855,506	-	10,789,905
2009	12	11,540,000	600,000	-	1,300,000	-	1,900,000	16.46%	-	230,800	-	-	2,492,809	1,533,990	6,709,506	-	10,736,305
2010	13	25,649,000	525,000	100,000	1,800,000	-	2,425,000	9.45%	500,960	412,980	764,900	-	2,993,769	1,946,970	7,474,406	-	12,415,145
2011	14	17,798,000	450,000	100,000	1,600,000	415,000	2,565,000	14.41%	261,920	255,960	179,800	-	3,255,689	2,202,930	7,654,206	-	13,112,825
2012	15	16,962,000	475,000	125,000	1,850,000	425,000	2,875,000	16.95%	253,480	214,240	-	-	3,459,169	2,417,170	7,500,406	-	13,376,745
2013	16	15,914,000	520,000	250,000	1,775,000	425,000	2,970,000	18.66%	116,560	68,280	-	-	3,575,729	2,485,450	7,316,806	-	13,377,985
2014	17	12,127,000	515,000	250,000	1,375,000	475,000	2,615,000	21.56%	-	-	-	-	3,545,809	2,477,990	7,154,506	-	13,178,305
Totals		257,635,700	6,759,619	2,674,724	18,609,064	3,891,201	31,934,608	12.40%									

\* Difference between the "new set-asides reserved" and the "specified funds"

Exhibit VI – DWRLF binding Commitments Required

FED	FEDERAL GRANT						REQUIRED	Associated	REQUIRED	COMM.	ACTUAL	ACTUAL	
	PAYMENT												times
QTR/ YEAR	9969813 2010	9969814 2011	9969815 2012	9969816 2013	9969817 2014	9969818 2015	120%	Amounts (\$p)	COMMIT.	CUMULATIVE	COMMIT.	CUMULATIVE	
01/10							\$3,479,849	\$279,583	\$3,200,266	\$125,710,233	\$19,310,000	cc	\$135,491,700
02/10							\$3,479,849	\$279,583	\$3,200,266	\$128,910,498	\$56,031,520	dd	\$191,523,220
03/10							\$11,767,649	\$358,333	\$11,409,316	\$140,319,814			\$191,523,220
04/10							\$13,498,649	\$595,831	\$12,902,818	\$153,222,632	\$4,000,000	ee	\$195,523,220
01/11	\$3,206,125						\$11,749,800	\$566,250	\$11,183,550	\$164,406,182	\$1,900,000	ff	\$197,423,220
02/11	\$3,206,125						\$11,749,800	\$566,250	\$11,183,550	\$175,589,732			\$197,423,220
03/11	\$3,206,125						\$3,462,000	\$487,500	\$2,974,500	\$178,564,232	\$8,000,000	gg	\$205,423,220
04/11	\$3,206,125						\$1,731,000	\$237,500	\$1,493,500	\$180,057,732			\$205,423,220
01/12	\$3,206,125	\$4,449,500					\$5,578,350	\$540,625	\$5,037,725	\$185,095,457	\$10,710,000	hh	\$216,133,220
02/12	\$3,206,125	\$4,449,500					\$5,578,350	\$540,625	\$5,037,725	\$190,133,182	\$5,780,000	ii	\$221,913,220
03/12	\$3,206,125	\$4,449,500					\$5,578,350	\$540,625	\$5,037,725	\$195,170,907	\$1,800,000	jj	\$223,713,220
04/12	\$3,206,125	\$4,449,500	\$4,240,500				\$3,847,350	\$303,125	\$3,544,225	\$198,715,132	\$14,155,000	kk	\$237,868,220
01/13			\$4,240,500				\$9,186,750	\$944,375	\$8,242,375	\$206,957,507	\$14,200,000	ll	\$252,068,220
02/13			\$4,240,500				\$9,186,750	\$944,375	\$8,242,375	\$215,199,882			\$252,068,220
03/13			\$4,240,500				\$9,186,750	\$944,375	\$8,242,375	\$223,442,257	\$ 3,700,000	mm	\$255,768,220
04/13				\$3,978,500			\$14,275,350	\$1,663,125	\$12,612,225	\$236,054,482	\$ 12,151,200	nn	\$267,919,420
01/14				\$3,978,500			\$5,088,600	\$718,750	\$4,369,850	\$240,424,332	\$ 2,000,000	oo	\$269,919,420
02/14				\$3,978,500			\$5,088,600	\$718,750	\$4,369,850	\$244,794,182	\$ 8,800,000	pp	\$278,719,420
03/14				\$3,978,500			\$5,088,600	\$718,750	\$4,369,850	\$249,164,032	\$ 405,000	qq	\$279,124,420
04/14					\$3,031,750		\$4,774,200	\$742,500	\$4,031,700	\$253,195,732	\$ 1,990,000	rr	\$281,114,420
01/15					\$3,031,750		\$4,774,200	\$742,500	\$4,031,700	\$257,227,432	\$ 5,450,000	ss	\$286,564,420
02/15					\$3,031,750		\$4,774,200	\$742,500	\$4,031,700	\$261,259,132	\$ 255,000	tt	\$286,819,420
03/15					\$3,031,750		\$4,774,200	\$742,500	\$4,031,700	\$265,290,832	\$ 29,600,000	uu	\$316,419,420
04/15							\$3,638,100	\$653,750	\$2,984,350	\$268,275,182			
01/16							\$3,638,100	\$653,750	\$2,984,350	\$271,259,532			
02/16							\$3,638,100	\$653,750	\$2,984,350	\$274,243,882			
03/16							\$3,638,100	\$653,750	\$2,984,350	\$277,228,232			
04/16													
TOTAL	\$25,649,000	\$17,798,000	\$16,962,000	\$15,914,000	\$12,127,000		\$309,162,840	\$31,934,608	\$268,275,182		\$316,419,420		



**Exhibit VII – DWRLF Fundable List**

# DWRLF FUNDABLE LIST OF APPLICANTS

System Name	PWSID	Est. Loan Amount	Additional Subsidization \$3,392,000	Fix it First	Points	Rank	Population	Project Description	Est. Date to Close Loan
WWD 12 of Ward 3-Calcasieu Parish	2019135	1,310,000	393,000	X	33	1	1,760	The proposed project includes extending the distribution system of an existing non-transient non-community water system (Big Lake Water District, LA2019135) to now serve nearby existing residential customers. The proposed project also includes consolidation of 2 other existing public water systems: Country Pines Subdivision (LA1019083) and Clearview Mobile Home Park (LA1019122).	August-13
Terrebonne Parish Consolidated WWD#1	1109002	4,200,000	1,225,000	X	30	2	110,860	Relocate and upgrade high service pumps at Schriever Water Plant; Provide interconnections between trunk mains and City of Houma Distribution; Provide chlorine booster stations before Houma Distribution System; and Provide distribution loop south of Houma. Project will eventually result in closing/consolidation of the City of Houma (8 MGD) Water Plant (1109001).	December-13
North Franklin Waterworks	1041003	3,385,266	1,015,579	X	27	3	2,500	Construct 3 new water wells (215-gpm), a (125,000-gallon) ground storage tank, pumping plant w/ 3 450-gpm supply pumps, generator, & building at the 'Chapman Road Site' (40-acre site already owned by the water system), 30,624 L.F. of 16" dia. supply main, control systems, site work, fencing, and related work.	June-13
Jefferson Parish Water Department-East Bank & West Bank	1051001/ 1051004	7,945,000	1,225,000	X	18	9	432,552	The proposed project includes the installation of a new 12-inch diameter water main on River Road on the West Bank of Jefferson Parish from Rivet Blvd. west to the Jefferson Parish/St. Charles Parish Boundary. This will provide the required pressure and capacity to meet demand that is currently not available in this area of the system.	August-13
Village of Mermentau	1001005	960,000	288,000	X	14	13	721	Installation of a new 150-gpm water treatment facility on existing Village-owned property to replace the Village's defunct existing water treatment plant that was abandoned in 2008. They have been purchasing water since 2008 from Egan Water Corp. (formerly Southwest Acadia Water Corp). The new treatment facility will consist of a new metal building, pressure filter and softener system (to treat water with a high iron content), a backwash settling basin, new electric controls, and new chlorine and potassium permanganate feed systems. The system has 2 existing wells that will be rehabbed as part of this project to provide water to the new treatment facility, so that they are no longer a purchased water system.	August-13
Village of Estherwood	1001003	898,000	269,400	X	14	14	807	Installation of a new 150-gpm water treatment facility on existing Village-owned property to replace the Village's defunct existing water treatment plant that was abandoned in 2008. They have been purchasing water since 2008 from Egan Water Corp. (formerly Southwest Acadia Water Corp). The new treatment facility will consist of a new metal building, pressure filter and softener system (to treat water with a high iron content), a backwash settling basin, new electric controls, and new chlorine and potassium permanganate feed systems. The system has 2 existing wells that will be rehabbed as part of this project to provide water to the new treatment facility, so that they are no longer a purchased water system.	August-13
<b>Total</b>		<b>\$ 18,698,266</b>	<b>\$ 4,415,979</b>						
<p><b>This list is only an estimate and is not binding toward which projects actually receive funds from the FFY grant. The DWRLF closes loans on a "ready to proceed to construction" basis.</b></p>									



## EXHIBIT IX

**STATE OF LOUISIANA  
DEPARTMENT OF HEALTH & HOSPITALS  
OFFICE OF PUBLIC HEALTH  
DRINKING WATER REVOLVING LOAN FUND  
PROPRIETARY FUND - ENTERPRISE FUND**

**STATEMENT OF NET ASSETS  
JUNE 30, 2015**

**ASSETS**

Current Assets:

Cash in State Treasury (Note 2)	\$95,567,599
Receivables - Due from others (Note 3)	<u>\$7,676,101</u>
Total Current Assets	\$103,243,700

Non-Current Assets:

Loans Receivable (Note 4)	\$124,230,848
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<b><u>TOTAL ASSETS</u></b>	<b><u>\$227,474,548</u></b>
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**LIABILITIES**

Current Liabilities:

Accounts Payable and Accruals (Note 6)	\$331,727
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Non-Current Liabilities:

Bonds Payable

<b><u>TOTAL LIABILITIES</u></b>	<b><u>\$331,727</u></b>
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<b>NET ASSETS - UNRESTRICTED</b>	<b><u>\$227,142,821</u></b>
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**STATE OF LOUISIANA  
DEPARTMENT OF HEALTH AND HOSPITALS  
OFFICE OF PUBLIC HEALTH  
DRINKING WATER REVOLVING LOAN FUND  
PROPRIETARY FUND - ENTERPRISE FUND**

**STATEMENT OF REVENUES, EXPENSES  
AND CHANGES IN FUND NET ASSETS  
FOR THE YEAR ENDED JUNE 30, 2015**

**OPERATING REVENUES:**

Federal Funds - Set-Aside Programs	\$2,001,956
Interest Earned on Loans Receivable	\$4,077,995
Interest Earned on Cash in State Treasury	\$72,748
Administrative Fees	\$670,179

<b><u>TOTAL OPERATING REVENUES</u></b>	<b>\$6,822,878</b>
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**OPERATING EXPENSES:**

Set-Aside Expenses (Note 5)	\$2,001,956
Bond Issuance Costs (Note 7)	\$16,340
Bond Interest Expense	\$145
Bond Commitment and Authorization Fees	\$0
Principal Forgiveness	\$2,991,481

<b><u>TOTAL OPERATING EXPENSES</u></b>	<b>\$5,009,922</b>
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<b><u>OPERATING INCOME (LOSS)</u></b>	<b>\$1,812,956</b>
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Capital Contributions and Transfers	\$10,170,376
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<b><u>CHANGE IN NET ASSETS</u></b>	<b>\$11,983,332</b>
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Net Assets - Beginning of Year	\$215,159,489
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<b><u>NET ASSETS - END OF YEAR</u></b>	<b>\$227,142,821</b>
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**STATE OF LOUISIANA  
DEPARTMENT OF HEALTH AND HOSPITALS  
OFFICE OF PUBLIC HEALTH  
DRINKING WATER REVOLVING LOAN FUND  
PROPRIETARY FUND - ENTERPRISE FUND**

**STATEMENT OF CASH FLOWS  
FOR THE YEAR ENDED JUNE 30, 2015**

**Cash Flows From Operating Activities:**

Cash received from interest on loans	\$4,122,720
Cash received from interest on cash in treasury	\$70,594
Cash received from administrative fees	\$670,518
Cash received from repayment of loan principal	\$16,738,456
Cash received from allocations for set-asides	\$2,068,599
Cash payments for set-aside programs	-\$2,068,599
Cash payments to borrowers	-\$13,628,335
<b>Net cash provided by operating activities</b>	<b><u>\$7,973,953</u></b>

**Cash Flows From Noncapital Financing Activities:**

Contributed capital - EPA	\$10,170,376
Proceeds from issuance of bonds	\$2,433,660
Principal paid on bonds	-\$2,450,000
Bond Interest expense	-\$145
Bond Commitment Fee	\$0
<b>Net cash provided by noncapital financing activities</b>	<b><u>\$10,153,891</u></b>

Net Increase in Cash **\$18,127,844**

Cash at Beginning of Year \$77,439,755

Cash at End of Year **\$95,567,599**

**Reconciliation of Operating Income to Net Cash Used by Operating Activities:**

Operating income	\$1,812,956
<i>Adjustments to Reconcile Income to Cash:</i>	
Bond issue costs	\$16,340
Bond interest expense	\$145
Bond commitment and authorization fees	\$0
<i>Changes in assets and liabilities:</i>	
(Increase) decrease in accounts receivable	\$111,707
(Increase) decrease in due from others	-\$2,154
(Increase) decrease in loans receivable	\$6,101,602
Increase (decrease) in bonds payable	\$0
Increase (decrease) in accounts payable and accrued expenses	-\$66,643
<b>Net cash Used by Operating Activities</b>	<b><u>\$7,973,953</u></b>

**Non Cash Investing, Capital, and Financing Activities:**

Principal Forgiveness on Loans **\$2,991,481**

**STATE OF LOUISIANA  
DEPARTMENT OF HEALTH & HOSPITALS  
OFFICE OF PUBLIC HEALTH  
DRINKING WATER REVOLVING LOAN FUND  
PROPRIETARY FUND - ENTERPRISE FUND**

**STATEMENT OF NET ASSETS, BY ACCOUNT  
FOR THE YEAR ENDED JUNE 30, 2015**

	<b>LOAN ACCOUNT</b>	<b>ADMINISTRATIVE AND STATE MATCH ACCOUNT</b>	<b>TOTAL</b>
<b><u>ASSETS</u></b>			
Current Assets:			
Cash in state treasury	\$89,849,871	\$5,717,728	\$95,567,599
Receivables - Due From Others	\$7,543,282	\$132,819	\$7,676,101
Total Current Assets	\$97,393,153	\$5,850,547	\$103,243,700
Non-Current Assets			
Loans Receivable	\$124,230,848	\$0	\$124,230,848
<b><u>TOTAL ASSETS</u></b>	<b>\$221,624,001</b>	<b>\$5,850,547</b>	<b>\$227,474,548</b>
<b><u>LIABILITIES</u></b>			
Current Liabilities:			
Accounts Payable and Accruals	\$331,727	\$0	\$331,727
Non-Current Liabilities:			
Bonds Payable	\$0	\$0	\$0
<b><u>TOTAL LIABILITIES</u></b>	<b>\$331,727</b>	<b>\$0</b>	<b>\$331,727</b>
<b><u>NET ASSETS - UNRESTRICTED</u></b>	<b>\$221,292,274</b>	<b>\$5,850,547</b>	<b>\$227,142,821</b>

**STATE OF LOUISIANA  
DEPARTMENT OF HEALTH & HOSPITALS  
OFFICE OF PUBLIC HEALTH  
DRINKING WATER REVOLVING LOAN FUND  
PROPRIETARY FUND - ENTERPRISE FUND**

**STATEMENT OF REVENUES, EXPENSES  
AND CHANGES IN FUND NET ASSETS, BY ACCOUNT  
FOR THE YEAR ENDED JUNE 30, 2015**

	<b>LOAN ACCOUNT</b>	<b>ADMINISTRATIVE AND STATE MATCH ACCOUNT</b>	<b>TOTAL</b>
<b><u>OPERATING REVENUES:</u></b>			
Federal Funds - Set Aside Programs	\$ 2,001,956	\$ -	\$ 2,001,956
Interest earned on Loans Receivable	\$ 4,077,995	\$ -	\$ 4,077,995
Interest Earned on Cash in State Treasury	\$ 67,495	\$ 5,253	\$ 72,748
Administrative Fees	\$ -	\$ 670,179	\$ 670,179
<b><u>TOTAL OPERATING REVENUES</u></b>	<b><u>\$ 6,147,446</u></b>	<b><u>\$ 675,432</u></b>	<b><u>\$ 6,822,878</u></b>
<b><u>OPERATING EXPENSES:</u></b>			
Set-Aside Expenses	\$ 2,001,956	\$ -	\$ 2,001,956
Bond Issuance Costs	\$ 16,340	\$ -	\$ 16,340
Bond Interest Expense	\$ 145	\$ -	\$ 145
Bond Commitment and Authorization Fees	\$ -	\$ -	\$ -
Principal Forgiveness	\$ 2,991,481		\$ 2,991,481
<b><u>TOTAL OPERATING EXPENSE</u></b>	<b><u>\$ 5,009,922</u></b>	<b><u>\$ -</u></b>	<b><u>\$ 5,009,922</u></b>
<b><u>OPERATING INCOME (LOSS)</u></b>	<b><u>\$ 1,137,524</u></b>	<b><u>\$ 675,432</u></b>	<b><u>\$ 1,812,956</u></b>
Capital Contributions and Transfers	\$ 10,170,376	\$ -	\$ 10,170,376
<b><u>CHANGE IN NET ASSETS</u></b>	<b><u>\$ 11,307,900</u></b>	<b><u>\$ 675,432</u></b>	<b><u>\$ 11,983,332</u></b>
Net Asssets -Beginning of Year	\$ 209,984,373	\$ 5,175,116	\$ 215,159,489
<b><u>NET ASSETS - END OF YEAR</u></b>	<b><u>\$ 221,292,273</u></b>	<b><u>\$ 5,850,548</u></b>	<b><u>\$ 227,142,821</u></b>

STATE OF LOUISIANA  
DEPARTMENT OF HEALTH AND HOSPITALS  
OFFICE OF PUBLIC HEALTH  
DRINKING WATER REVOLVING LOAN FUND  
PROPRIETARY FUND - ENTERPRISE FUND

STATEMENT OF CASH FLOWS BY ACCOUNT  
FOR THE FISCAL YEAR ENDED JUNE 30, 2015

	LOAN ACCOUNT	ADMINISTRATIVE AND STATE MATCH ACCOUNT	TOTAL
<b><u>Cash Flows From Operating Activities:</u></b>			
Cash received from interest on loans	\$4,122,720		\$4,122,720
Cash received from interest on cash in treasury	\$65,439	\$5,155	\$70,594
Cash received from administrative fees	\$0	\$670,518	\$670,518
Cash received from repayment of loan principal	\$16,738,456		\$16,738,456
Cash received from allocations for set-aside programs	\$2,068,599		\$2,068,599
Cash payments for set-aside expenses	-\$2,068,599		-\$2,068,599
Cash payments to borrowers	-\$13,628,335		-\$13,628,335
<b><u>Net Cash Provided by Operating Activities</u></b>	<b><u>\$7,298,280</u></b>	<b><u>\$675,673</u></b>	<b><u>\$7,973,953</u></b>
<b><u>Cash Flows From Non-Capital Financing Activities:</u></b>			
Contributed capital - EPA	\$10,170,376	\$0	\$10,170,376
Proceeds from issuance of bonds	\$2,433,660	\$0	\$2,433,660
Principal paid on bonds	-\$2,450,000	\$0	-\$2,450,000
Bond interest expense	-\$145	\$0	-\$145
Bond commitment and authorization fee	\$0	\$0	\$0
<b><u>Net Cash Provided by Non-Capital Financing Activities</u></b>	<b><u>\$10,153,891</u></b>	<b><u>\$0</u></b>	<b><u>\$10,153,891</u></b>
Net Increase in Cash	<b><u>\$17,452,171</u></b>	<b><u>\$675,673</u></b>	<b><u>\$18,127,844</u></b>
Cash at Beginning of Year	\$72,397,700	\$5,042,055	\$77,439,755
Cash at End of Year	<b><u>\$89,849,871</u></b>	<b><u>\$5,717,728</u></b>	<b><u>\$95,567,599</u></b>
<b>Reconcile Operating Income to Net Cash Used by Operating Activities</b>			
Operating Income	\$1,137,524	\$675,432	\$1,812,956
<i>Adjustments to reconcile income to cash</i>			
Bond issue costs	\$16,340		
Bond interest expense	\$145		\$145
Bond commitment and authorization fee	\$0		\$0
<i>Changes in assets and liabilities:</i>			
(Increase) decrease in accounts receivable	\$111,368	\$339	
(Increase) decrease in due from others	-\$2,056	-\$98	-\$2,154
(Increase) decrease in loans receivable	\$6,101,602		\$6,101,602
Increase (decrease) in bonds payable	\$0		\$0
Increase (decrease) in accounts payable and accrued expenses	-\$66,643		-\$66,643
			\$0
<b><u>Net Cash Provided by Operating Activities</u></b>	<b><u>\$7,298,280</u></b>	<b><u>\$675,673</u></b>	<b><u>\$7,973,953</u></b>
<b><u>Non-Cash Investing, Capital, and Financing Activities:</u></b>			
Principal Forgiveness on loans	<b><u>\$2,991,481</u></b>	<b><u>\$0</u></b>	<b><u>\$2,991,481</u></b>

## **Notes to the Financial Statements**

### **INTRODUCTION**

The Louisiana Department of Health and Hospitals, Office of Public Health (DHH-OPH) is a department of the State of Louisiana. DHH-OPH was created in accordance with Louisiana Revised Statutes (R.S.) 36:251(c) and 258(b) as a part of the executive branch of government. DHH-OPH is charged with protection of the public health of residents of the State of Louisiana.

The Drinking Water Revolving Loan Fund (DWRLF) program was established pursuant to the federal Safe Drinking Water Act Amendments of 1996 (SDWA). The DWRLF program provides financial assistance to both publicly and privately owned community water systems and nonprofit non-community water systems for projects eligible under the SDWA. The DWRLF program presently operates under R.S. 40:2821-2826. These statutes establish a DWRLF program capitalized by federal grants (Capitalization Grants for Drinking Water State Revolving Fund, CFDA 66.468), by state funds when required or available, and by any other funds generated by the operation of the program. The DWRLF program provides assistance through loans for infrastructure projects and other assistance in the form of set-aside activities for program administration, technical assistance, state program management, local assistance, and other state programs. All efforts are directed toward improving drinking water quality by assisting systems in providing drinking water that meets established standards and that achieves the goals of the SDWA.

The DHH-OPH is responsible for the operations and administration of the DWRLF program. DHH-OPH is authorized to apply for and accept capitalization grants from the United States Environmental Protection Agency, to establish assistance priorities, to perform oversight and other related activities, and to provide financial administration of the set-aside and loan accounts for the DWRLF program.

The DWRLF does not have any full-time employees. However, time spent on the DWRLF program by employees of DHH-OPH is captured and the DWRLF subsequently reimburses DHH-OPH for salaries and benefits as well as other operating expenses of the fund.

### **1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

#### **A. BASIS OF PRESENTATION**

The accompanying financial statements have been prepared on the full accrual basis in accordance with accounting principles generally accepted in the United States of America as applied to governmental units. The Governmental Accounting Standards Board (GASB) is the accepted standard setting body for establishing governmental accounting principles and reporting standards. These principles are found in the *Codification of Governmental Accounting and Financial Reporting Standards*, published by the GASB.

#### **B. REPORTING ENTITY**

GASB Codification Section 2100 establishes criteria for determining the governmental reporting entity and has defined the governmental reporting entity to be the State of

Louisiana. The accompanying financial statements represent activity of a fund of the State of Louisiana that is administered by DHH-OPH, a department within state government. The DWRLF is part of the primary government of the State of Louisiana.

Annually, the State of Louisiana issues a comprehensive annual financial report, which includes the activity contained in the accompanying financial statements. Those basic financial statements are audited by the Louisiana Legislative Auditor.

### **C. FUND ACCOUNTING**

For the purposes of this report, the DWRLF uses a single proprietary (enterprise) fund. Proprietary funds are used to account for operations that are (a) financed and operated in a manner similar to private business enterprises where the intent of the governing body is that costs of providing goods or services to the general public on a continuing basis be financed or recovered primarily through user charges; or (b) where the governing body has decided that periodic determination of revenues earned, expenses incurred, and/or net income is appropriate for capital maintenance, public policy, management control, accountability, or other purposes.

### **D. BASIS OF ACCOUNTING**

Basis of accounting refers to when revenues and expenses are recognized in the accounts and reported in the financial statements. Basis of accounting relates to the timing of the measurements made, regardless of the measurement focus applied. The transactions of the DWRLF are accounted for on a flow of economic resources measurement focus. With this measurement focus, all assets and liabilities associated with the operations are included on the Statement of Net Position.

The DWRLF uses the accrual basis of accounting. Revenues are recognized in the accounting period when they are earned and expenses are recognized when the related liability is incurred.

Proprietary funds distinguish operating revenues and expenses from non-operating items. Operating revenues and expenses generally result from providing services and/or producing and delivering goods in connection with a proprietary fund's principal ongoing operations. The principal operating revenues of the DWRLF are federal funds and interest earnings. Operating expenses include the set-aside expenses.

### **E. BUDGETS AND BUDGETARY ACCOUNTING**

The DWRLF is budgeted annually by the Louisiana Legislature. The set-aside activities are budgeted as part of the operations of DHH-OPH in the General Appropriations Act. The Ancillary Appropriations Act (Act 45 of the 2014 Regular Session as amended) authorized expenditures of \$34,000,000 for the loan program. The fund is allowed to retain resources to fund future loans and eligible program activities. Because the fund is an enterprise fund, a budgetary comparison is neither required nor presented in the financial statements.

## **F. LOANS RECEIVABLE**

The DWRLF is operated as a direct loan program. The program provides loans and other financial assistance to public water systems for the purpose of planning, constructing, and rehabilitating public water systems.

The program lends federal and state monies directly to public water systems. For every \$5 provided by the federal government, the state is required to provide a matching share of \$1. The effective match share reflects a federal rate of 83.33% and a state rate of 16.67%. Recycling of principal and interest repayments from borrowing water systems allows the program to operate in perpetuity thereby benefiting other water systems wishing to borrow in the future. Borrowers pay principal and interest directly to the loan program, and all monies are deposited directly to the program. Principal repayments can only be used to make additional loans to water systems. Interest earnings on investments and loans can also be used to make additional loans. In addition, with Environmental Protection Agency (EPA) approval, interest earnings on investments and loans are used to pay off revenue bonds sold to capitalize the program by providing state matching funds.

The loans made by the DWRLF must be made at or below market interest rate with a repayment period not exceeding 20 years plus an interim construction-financing period. The current loan rate is 2.95% for new water construction/water system rehabilitation projects. In addition, water systems are charged an administrative fee of 0.5% on outstanding loan balances payable semiannually. Interest and administrative fees are calculated from the date that funds are advanced and after the final disbursement has been made, the payment schedule identified in the loan agreement is adjusted for the actual amounts disbursed.

As evidence of its obligations to pay principal and interest on the loans, each borrower must establish a dedicated source of revenue (or in the case of a privately owned system, demonstrate that there is adequate security) for repayment of the loan [42 USC 300j-12(f)(1)(C)]. For substantially all of these loans, the loan recipient issues bonds that are purchased by DHH, as administrator of the DWRLF, to secure the repayment of the principal loaned. Principal and interest on the bonds are paid to the DWRLF and upon repayment of the loan, the bonds are returned to the loan recipient. Minimum required coverage ratios are established depending on the nature of the bonded indebtedness issued by the loan recipient as follows:

For limited tax bonds, the principal and interest due in any year on the amount borrowed shall not exceed 75% of the revenues estimated to be received from the levy of the pledged millage in the year in which the indebtedness is issued (R.S. 39:742.2).

For sales tax bonds, the total amount of principal and interest falling due in any year, together with principal and interest falling due in such year on any previously issued sales tax bonds, shall never exceed 75% of the amount of sales tax revenues

estimated by the governing authority of the issue to be received by it in the calendar year in which the bonds are issued (R.S. 39:698.4).

For revenue bonds, the requirements for coverage are established contractually in the loan documents (R.S. 39:1019). Expected coverage ratios might range from 110% to 130% or more. The DWRLF goal for collections of the dedicated revenues for repayment of the loan secured by revenue bonds is 125%; however, many factors can create deviation from this goal. It is customary to use the same minimum required coverage ratio as was previously established for outstanding debt of the loan recipient.

For general obligation bonds, the requirements for coverage are statutorily set. The governing authority of the issuer is required to impose and collect annually, in excess of all other taxes, a tax on all property subject to taxation by the issuer sufficient in amount to pay the interest and the principal falling due each year, or such amount as may be required for any sinking fund necessary to retire said bonds at maturity (R.S. 39:569). Typically, the bond millage is adjusted each year so as to generate enough revenues to pay debt service in the ensuing calendar year. No coverage requirements or debt service reserves exist, because the tax can be adjusted each year *without any limitation whatsoever* to collect the appropriate amount each year.

In the case of sales tax bonds and revenue bonds, each loan recipient is also required to set up a debt service reserve fund equal to one half year's principal and interest for the purpose of paying principal and interest should the dedicated revenues be insufficient for that purpose. The requirement to maintain a debt service reserve fund is not statutorily required, but is usual and customary for these kinds of indebtedness.

Because of the reserve requirements and the absence of any delinquent loans, there is no provision for uncollectible amounts.

## **G. NET POSITION**

Net position comprises the various net earnings from operations, non-operating revenues, and contributions of capital. Net position is classified in the following components as applicable:

Net investment in capital assets consists of all capital assets, net of accumulated depreciation and reduced by the outstanding balances of any bonds or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

Restricted net position consists of resources subject to external constraints placed on the resources by creditors, grantors, contributors, or law or regulations of other governments or constraints imposed by law through constitutional provisions or enabling legislation.

Unrestricted net position consists of all other net assets that are not included in the other categories previously mentioned.

#### **H. CAPITAL CONTRIBUTIONS**

The funds drawn for loans from the EPA capitalization grants authorized by the Safe Drinking Water Act Amendments of 1996 are recorded as capital contributions.

#### **I. COMPENSATED ABSENCES, PENSION BENEFITS AND POSTRETIREMENT BENEFITS**

DHH-OPH provides employees to work on the DWRLF program. Compensated absences, pension benefits, and postretirement benefits are provided and recorded by the department and allocated to the fund based on time worked. These allocated expenses are included in the fund financial statements; however no liability for compensated absences or postemployment benefits is recorded in the fund financial statements and no disclosures for compensated absences, pension benefits, or postretirement benefits are included in the fund financial statements, as the ultimate liability is with the department, rather than the fund.

#### **J. ESTIMATES**

The preparation of financial statements requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

#### **K. ADOPTION OF NEW ACCOUNTING PRINCIPLES**

In February 2015, the GASB issued Statement No. 72, *Fair Value Measurement and Application*. The definition of fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This Statement provides guidance for determining a fair value measurement for financial reporting purposes. This Statement also provides guidance for applying fair value to certain investments and disclosures related to all fair value measurements. The requirements of this Statement are effective for financial statements for periods beginning after June 15, 2015. The implementation of Statement No. 72 will have no impact on the financial statements.

### **2. CASH IN STATE TREASURY**

As reflected on Statement A, the DWRLF has cash totaling \$95,567,599 at June 30, 2015. All monies of the fund are deposited with the State Treasurer's Office. Cash balances are held and controlled by the state treasurer and are secured from risk by the state treasurer through separate custodial agreements, and the risk disclosures required by accounting principles generally accepted in the United States are included within the State of Louisiana's financial statements.

### **3. RECEIVABLES - DUE FROM OTHERS**

As shown on Statement A, the DWRLF has a total due from others of \$7,676,101. This total is comprised of the following:

Due from the federal government – set-aside programs	\$331,727
Due from water systems	7,336,422
Due from state treasury – interest	<u>7,952</u>
Total	<u><u>\$7,676,101</u></u>

Of the \$7,336,422 due from water systems, \$6,435,484 are the current portion of the principal due, \$768,595 are loan interest, and \$132,343 are administrative fees on loans.

#### 4. LOANS RECEIVABLE

The DWRLF makes loans to community water systems both privately and publicly owned and nonprofit non-community water systems for projects that meet the eligibility requirements of the program. Loans are financed by capitalization grants, state match, and revolving funds. The effective interest rate on loans is 3.45% and must be repaid over 20 years starting two years after the closing date of the loan or one year after the project is completed, whichever occurs first. As of June 30, 2015, 104 of the loans have been closed (completed drawing funds for construction) and the remaining loan commitment balance (loans authorized less loans disbursed) totals \$54,847,935.82. In addition, 43 of the loans are completely paid off. Loans mature at various intervals through June 1, 2037. The scheduled principal payments on loans maturing in subsequent years are as follows:

Year ending June 30:

2016	\$6,333,989
2017	\$6,736,800
2018	\$6,966,800
2019 - 2023	\$37,912,064
2024 - 2028	\$41,933,803
2029 - 2033	\$28,389,278
2034 - 2036	\$2,393,599

Total loans receivable	<u><u>\$130,666,332</u></u>
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Long-term receivable portion	<u><u>\$124,332,343</u></u>
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As of June 30, 2015, the DWRLF had made 137 loans to 76 water systems as follows:

No.	PROJECT NAME	Authorized Loan Amount	Loans Outstanding
1	Ascension Consolidated Utilities District #1 1A	\$ 300,000.00	\$ -
2	Ascension Consolidated Utilities District #1 1B	\$ 700,000.00	\$ 585,000.00
3	Ascension Water Co.	\$ 6,000,000.00	\$ -
4	Ascension Water Co. #2	\$ 5,000,000.00	\$ -
5	Avoyelles Ward One Water System	\$ 1,329,365.22	\$ 857,000.00
6	Bayou Des Cannes Water System, Inc. Loan 1A	\$ 666,700.00	\$ -
7	Bayou Des Cannes Water System, Inc. Loan 1B	\$ 1,555,820.00	\$ 1,287,820.00
8	Beauregard Parish WWD #3	\$ 3,000,000.00	\$ 1,980,599.99
9	Buckeye Water District #50	\$ 400,000.00	\$ 310,000.00
10	Buckeye WD #50 Loan 2 - A	\$ 684,000.00	\$ 277,000.00
11	Buckeye WD #50 Loan 2 - B	\$ 458,000.00	\$ 371,000.00
12	Calcasieu WWD#8-1A	\$ 384,000.00	\$ 155,000.00
13	Calcasieu WWD#8-1B	\$ 257,000.00	\$ 207,000.00
14	Calcasieu WWD#8-1C	\$ 209,000.00	\$ 157,000.00
15	Calcasieu WWD#12 of Ward 3	\$ 2,000,000.00	\$ 1,396,356.67
16	City of Alexandria #1A	\$ 1,000,000.00	\$ -
17	City of Alexandria #1B	\$ 3,390,000.00	\$ 2,726,000.00
18	City of Alexandria #2	\$ 7,610,000.00	\$ 5,136,761.19
19	City of Baker 1A	\$ 2,000,000.00	\$ 873,000.00
20	City of Baker 1B	\$ 2,200,000.00	\$ 931,000.00
21	City of Bogalusa 1A	\$ 2,000,000.00	\$ 879,000.00
22	City of Bogalusa 1B	\$ 3,000,000.00	\$ 2,510,000.00
23	City of Franklin -1A	\$ 811,000.00	\$ -
24	City of Franklin - 1B	\$ 1,894,000.00	\$ 1,508,000.00
25	City of Leesville	\$ 4,800,000.00	\$ 1,686,059.12
26	City of Mansfield 1-A	\$ 1,000,000.00	\$ -
27	City of Mansfield 1-B	\$ 3,120,000.00	\$ 2,633,000.00
28	City of Mansfield #2	\$ 1,550,000.00	\$ 965,000.00
29	City of Mansfield #3	\$ 3,280,000.00	\$ 2,308,756.37
30	City of Monroe #1	\$ 3,000,000.00	\$ 2,175,000.00
31	City of Morgan City 1A	\$ 1,000,000.00	\$ -
32	City of Morgan City 1B	\$ 1,750,000.00	\$ 1,490,000.00
33	City of Morgan City 1W	\$ 1,234,000.00	\$ 1,048,000.00
34	City of Natchitoches	\$ 3,500,000.00	\$ -
35	City of Natchitoches 2A	\$ 2,000,000.00	\$ 874,000.00
36	City of Natchitoches 2B	\$ 3,000,000.00	\$ 2,613,000.00
37	City of Natchitoches Loan #3	\$ 2,000,000.00	\$ 101,004.31
38	City of Oakdale	\$ 1,492,411.90	\$ 632,411.90
39	City of Ruston 1A	\$ 2,000,000.00	\$ 855,000.00
40	City of Ruston 1B	\$ 1,334,000.00	\$ 1,115,000.00

No.	PROJECT NAME	Authorized Loan Amount	Loans Outstanding
41	City of Shreveport #1	\$ 7,000,000.00	\$ -
42	City of Shreveport #2	\$ 7,000,000.00	\$ -
43	City of Shreveport #3	\$ 5,540,000.00	\$ -
44	City of Shreveport #4A	\$ 2,000,000.00	\$ 838,000.00
45	City of Shreveport #4B	\$ 8,692,302.04	\$ 7,352,302.04
46	City of Springhill	\$ 7,456,558.00	\$ 5,779,558.00
47	City of Thibodaux 1-A	\$ 1,000,000.00	\$ -
48	City of Thibodaux 1-B	\$ 4,707,276.05	\$ 3,975,276.05
49	City of Ville Platte, 1-A	\$ 2,000,000.00	\$ 896,000.00
50	City of Ville Platte, 1-B	\$ 2,050,000.00	\$ 1,838,000.00
51	City of Ville Platte, Loan #2	\$ 9,450,000.00	\$ 4,288,013.94
52	City of Walker 1-A	\$ 156,000.00	\$ -
53	City of Walker 1-B	\$ 364,000.00	\$ 300,000.00
54	City of Westlake	\$ 3,739,906.34	\$ -
55	City of Westlake #2A	\$ 870,000.00	\$ -
56	City of Westlake #2B	\$ 2,030,000.00	\$ 1,610,000.00
57	City of Winnfield	\$ 2,500,000.00	\$ 1,638,000.00
58	Colyell Community WS	\$ 948,599.80	\$ 516,200.00
59	Colyell Community WS Loan 2	\$ 899,732.40	\$ 724,000.00
60	Consolidated WWD#1, Jefferson Parish	\$ 3,550,000.00	\$ 916,112.26
61	Consolidated WWD#1, Terrebonne Parish	\$ 1,880,809.12	\$ 1,585,000.00
62	Consolidated WWD#1, Terrebonne Parish, Loan	\$ 4,200,000.00	\$ 218,093.74
63	Culbertson Water System, Inc.	\$ 598,225.75	\$ -
64	DeSoto Water District #1	\$ 2,350,000.00	\$ 1,161,000.00
65	DeSoto Water District #1, 2-A	\$ 708,000.00	\$ -
66	DeSoto Water District #1, 2-B	\$ 1,652,000.00	\$ 1,382,000.00
67	East Allen Parish Water District, 1-A	\$ 381,959.47	\$ -
68	East Allen Parish Water District, 1-B	\$ 891,238.77	\$ 744,238.77
69	East Central Vernon Water System, Inc.	\$ 1,515,000.00	\$ 78,186.50
70	French Settlement Water Co., Inc.	\$ 770,066.82	\$ 598,000.00
71	French Settlement Water Co., Inc., Loan #2	\$ 1,250,000.00	\$ 179,154.35
72	Gardner Community Water Assoc., Inc. 1A	\$ 400,183.60	\$ -
73	Gardner Community Water Assoc., Inc. 1B	\$ 933,761.73	\$ 793,761.73
74	Iberville WWD#2 1A	\$ 1,906,141.79	\$ -
75	Iberville WWD#2 1B	\$ 1,300,000.00	\$ -
76	Kolin-Raby Wise Water System, Inc. 1-A	\$ 165,000.00	\$ -
77	Kolin-Raby Wise Water System, Inc. 1-B	\$ 385,000.00	\$ 309,000.00
78	Lafayette WWD North	\$ 2,738,586.52	\$ -
79	Mount Hermon Water District	\$ 700,000.00	\$ 432,089.11
80	Natchitoches Parish WWD #2-1	\$ 3,500,000.00	\$ 2,884,000.00
81	Natchitoches Parish WWD #2-2	\$ 649,276.49	\$ -

No.	PROJECT NAME	Authorized Loan Amount	Loans Outstanding
82	Louisiana Water Company - New Iberia	\$ 6,000,000.00	\$ 4,135,000.00
83	Louisiana Water Company - New Iberia #2	\$ 3,500,000.00	\$ 2,710,000.00
84	New Orleans Sewerage & Water Board, 1-A	\$ 1,800,000.00	\$ -
85	New Orleans Sewerage & Water Board, 1-B	\$ 1,546,418.30	\$ -
86	North Franklin Water Works, Inc.	\$ 3,750,000.00	\$ 1,275,680.40
87	Point Wilhite	\$ 925,000.00	\$ 729,000.00
88	Rambin-Wallace Water System, Inc.	\$ 235,000.00	\$ 16,072.00
89	Rapides Parish WWD #3	\$ 5,000,000.00	\$ 286,098.96
90	Sabine Parish WWD #1	\$ 1,000,000.00	\$ 65,816.10
91	Saint Bernard Parish	\$ 11,000,000.00	\$ 215,090.05
92	Saint John the Baptist Parish	\$ 5,500,000.00	\$ 957,752.10
93	Savoy Swords Water System, Inc.	\$ 907,237.85	\$ 667,202.50
94	Savoy Swords Water System, Inc. 2A	\$ 261,144.24	\$ -
95	Savoy Swords Water System, Inc. 2B	\$ 609,336.52	\$ 513,336.52
96	Southeast Grant Water System, Inc.	\$ 351,200.00	\$ 205,745.00
97	South Vernon WWD #1 of Vernon Parish	\$ 677,012.15	\$ 426,908.50
98	Southwest Allen Parish WWD#2, 1-A	\$ 298,500.00	\$ -
99	Southwest Allen Parish WWD#2, 1-B	\$ 696,500.00	\$ 571,500.00
100	Town of Baldwin	\$ 1,249,626.75	\$ 497,000.00
101	Town of Bernice	\$ 255,000.00	\$ 51,567.05
102	Town of Blanchard Loan 1A	\$ 1,000,000.00	\$ -
103	Town of Blanchard Loan 1B	\$ 2,657,000.00	\$ 2,107,000.00
104	Town of Blanchard Loan 2	\$ 8,400,000.00	\$ 6,717,004.34
105	Town of Blanchard Loan 3	\$ 4,930,000.00	\$ 4,798,924.28
106	Town of Churchpoint	\$ 2,500,000.00	\$ -
107	Town of Delhi	\$ 7,500,000.00	\$ 6,379,000.00
108	Town of Delhi #2	\$ 1,870,000.00	\$ 1,097,552.32
109	Town of Gramercy	\$ 1,500,000.00	\$ 410,463.88
110	Town of Greenwood	\$ 5,250,000.00	\$ 35,887.95
111	Town of Homer	\$ 3,600,000.00	\$ 113,311.24
112	Town of Lutcher	\$ 1,570,000.00	\$ 983,290.20
113	Town of Many #1	\$ 998,521.68	\$ -
114	Town of Many #2	\$ 1,075,319.77	\$ -
115	Town of Many #3	\$ 1,470,191.67	\$ -
116	Town of New Llano	\$ 1,000,000.00	\$ 172,112.03
117	Town of Olla	\$ 500,000.00	\$ 240,104.00
118	Town of Pearl River	\$ 1,800,000.00	\$ 1,168,000.00
119	Town of Pollock, 1-A	\$ 159,000.00	\$ -
120	Town of Pollock, 1-B	\$ 371,000.00	\$ -

No.	PROJECT NAME	Authorized Loan Amount	Loans Outstanding
121	Town of Slaughter #1	\$ 1,355,000.00	\$ 1,127,489.60
122	Town of Slaughter #2	\$ 640,522.90	\$ 474,000.00
123	Town of Slaughter #3	\$ -	\$ -
124	United Water System, Inc.	\$ 360,333.26	\$ 279,000.00
125	United Water System, Inc. 2-A	\$ 282,268.51	\$ -
126	United Water System, Inc. 2-B	\$ 658,626.49	\$ 564,626.49
127	Village of Estherwood	\$ 990,000.00	\$ 410,344.25
128	Village of Loreauville	\$ 1,310,000.00	\$ 705,075.12
129	Village of Mermentau	\$ 1,000,000.00	\$ 469,434.19
130	Village of Quitman	\$ 480,000.00	\$ 239,000.00
131	Ward 2 Water District of Livingston Parish	\$ 9,000,000.00	\$ -
132	Ward 2 Water District of Livingston Parish-2	\$ 5,984,678.07	\$ -
133	Ward 2 Water District of Livingston Parish-3	\$ 4,000,000.00	\$ 3,300,000.00
134	Ward 2 Water District of Livingston Parish-4	\$ 8,000,000.00	\$ 3,635,059.62
135	Weston Water System, Inc.	\$ 405,000.00	\$ 236,127.22
136	West Winnsboro	\$ 648,093.00	\$ -
137	West Winnsboro #2	\$ 467,459.84	\$ -
Grand Total		<u>\$ 313,033,912.81</u>	<u>\$ 130,666,331.95</u>

The DWRLF has been awarded 18 federal grants from the EPA. These grants are available through the EPA’s Automated Clearing House Payment System (ACH) and the Automated Standard Application for Payments (ASAP) System. Seventeen grants are authorized by the Safe Drinking Water Act Amendments of 1996 and require matching funds from the state. One grant is funded under the American Recovery and Reinvestment Act of 2009 and requires no matching funds from the state. As of June 30, 2015, EPA has awarded grants of \$257,635,700 to the state of which \$236,271,997 has been drawn, \$208,288,606 for loans and \$27,983,391 for set-aside activities. The state has provided matching funds through the year ended June 30, 2015, of \$41,931,293. The following summarizes the grants awarded, amounts drawn of each grant as of the balance sheet date, and balances available for future loans and set-aside expenses:

<u>Grant Source</u>	<u>Grant Amount</u>	<u>Cumulative Dollar Draws Set-Asides</u>	<u>Cumulative Dollar Draws Loans</u>	<u>Dollars Drawn as of June 30, 2015</u>	<u>Available as of June 30, 2015</u>
FS996968-01-2	\$20,420,300	\$4,307,056	\$16,113,244	\$20,420,300	0
FS996968-02-2	9,949,200	1,553,988	8,395,212	9,949,200	0
FS996968-03-0	10,427,700	1,642,927	8,784,773	10,427,700	0
FS996968-04-0	10,837,400	1,070,826	9,766,574	10,837,400	0
FS996968-05-0	18,934,800	0	18,934,800	18,934,800	0
FS996968-06-0	8,004,100	1,311,487	6,692,613	8,004,100	0
FS996968-07-0	8,303,100	1,470,000	6,833,100	8,303,100	0
FS996968-08-0	8,285,500	1,165,000	7,120,500	8,285,500	0
FS996968-09-0	11,658,600	1,511,662	10,146,938	11,658,600	0
FS996968-10-0	11,659,000	236,662	11,422,338	11,659,000	0
FS996968-11-0	11,540,000	2,000,000	9,540,000	11,540,000	0
FS996968-12-0	11,540,000	1,900,000	9,640,000	11,540,000	0
FS996968-13-0	25,649,000	2,425,000	23,224,000	25,649,000	0
FS996968-14-0	17,798,000	2,565,000	12,756,348	15,321,348	2,476,652
FS996968-15-0	16,962,000	2,833,702	12,916,612	15,750,314	1,211,686
FS996968-16-0	15,914,000	1,465,331	8,690,554	10,155,885	5,758,115
FS996968-17-0	12,127,000	209,750	0	209,750	11,917,250
2F-96692001-0	27,626,000	315,000	27,311,000	27,626,000	0
	<u>\$257,635,700</u>	<u>\$27,983,391</u>	<u>\$208,288,606</u>	<u>\$236,271,997</u>	<u>\$21,363,703</u>

The state has provided its required matching share of federal grant awards through General Fund appropriations and the sale of revenue bonds. Cash contributions from General Fund appropriations and sales of revenue bonds have totaled \$41,931,293. Matching contributions are as follows:

	<u>Cumulative State Match as of June 30, 2014</u>	<u>2015 Contribution</u>	<u>Cumulative State Match as of June 30, 2015</u>
State cash contribution	<u>\$39,497,633</u>	<u>\$2,433,660</u>	<u>\$41,931,293</u>

## 5. SET-ASIDE EXPENSES

A portion of the federal grant amounts awarded by the EPA can be specified to fund set-aside activities as follows:

- Up to 4% to provide administrative and technical assistance
- Up to 2% to provide technical assistance to small water systems
- Up to 10% to provide state program management
- Up to 15% to provide assistance in the development and implementation of local drinking water protection initiatives and other local assistance and state programs.

Set-aside expenses are summarized as follows:

	2015	Prior Years	Total
Administration	\$449,716	\$5,065,760	\$5,515,476
Small system technical assistance	248,063	2,386,854	2,634,917
State programs	910,196	15,443,072	16,353,268
Local assistance and state programs	393,981	3,102,476	3,496,457
ARRA Grant	0	315,000	315,000
Total	<u>\$2,001,956</u>	<u>\$26,313,162</u>	<u>\$28,315,118</u>

The 2015 set-aside expenses of \$2,001,956, includes accruals and payables of \$331,727.

## 6. PAYABLES

The following is a summary of payables and accrued expenses at June 30, 2015:

Vendor payables	\$287,014
Payroll accrual	44,713
Total	<u>\$331,727</u>

**7. LONG-TERM LIABILITIES**

The following is a summary of bonds and other long-term debt transactions of the fund for the year ended June 30, 2015:

	Balance June 30, 2014	Additions	Reductions	Balance June 30, 2015	Portion Due Within One Year
Bonds payable	\$0	\$2,450,000	\$2,450,000	\$0	\$0

The fund is allowed by CFR 35.3550(g)(3), to issue general obligation or revenue bonds to derive the state match. Furthermore, the secretary of DHH, through a Resolution by Executive Order pursuant to R.S. 30:2011 *et seq.*, was authorized, for state matching purposes, to borrow through the issuance of the department’s note to the Louisiana Public Facilities Authority (LPFA), a conduit issuer of serial bonds for the department and the state.

The serial bond issues, Louisiana Public Facilities Authority Revenue Bonds (Drinking Water Revolving Loan Fund Match Project) Series 2002 and 2006, were issued during the fiscal year ending June 30, 2003 and June 30, 2006, in an amount up to \$8,000,000 and \$7,300,000, respectively. This Indenture of Trust was issued between the LPFA and Hancock Bank of Louisiana. This indebtedness was secured solely from the pledge of a portion of the revenues received by the department from loans made by the program (interest earned on loans receivable and interest earned on cash in state treasury).The LPFA is a public trust and public corporation organized and existing for the benefit of the State of Louisiana.

Following is a historical summary of both series including their amendments, issuance costs and proceeds:

BONDS PAYABLE									
Bond Issuance Date	Bond Issuance Amount	Draw Number	Draw Date	Fiscal Year	Draw Amount	Issuance Costs	Bond Proceeds	Bond Available Balance	Date Defeased
12/23/2002	\$ 8,000,000	1	12/23/2002	2003	\$1,000,000	\$ 76,787.72	\$ 923,212.28	\$ 7,000,000	12/24/2002
<i>Series 2002</i>			10/29/2003	2004	\$0	\$ (251.00)	\$ 251.00	\$ 7,000,000	
		2	12/12/2003		\$1,000,000	\$ 66,622.00	\$ 933,378.00	\$ 6,000,000	12/13/2003
		3	3/18/2004		\$500,000	\$ 4,550.00	\$ 495,450.00	\$ 5,500,000	3/19/2004
		4	5/26/2004		\$1,000,000	\$ 7,754.00	\$ 992,246.00	\$ 4,500,000	5/27/2004
			11/29/2004	2005	\$0	\$ (674.00)	\$ 674.00	\$ 4,500,000	
		5	5/3/2005		\$1,000,000	\$ 7,754.20	\$ 992,245.80	\$ 3,500,000	5/4/2005
		6	6/23/2005		\$1,000,000	\$ 7,200.60	\$ 992,799.40	\$ 2,500,000	8/16/2005
		Cancelled	5/18/2006	2006	\$2,500,000			\$ -	
	<b>\$ 8,000,000</b>				<b>\$ 8,000,000</b>	<b>\$ 169,743.52</b>	<b>\$ 5,330,256.48</b>	<b>\$ -</b>	
5/18/2006	\$ 7,300,000								
<i>Series 2006</i>		1	5/18/2006	2006	\$1,000,000	\$ 58,423.48	\$ 941,576.52	\$ 6,300,000	5/19/2006
		2	11/29/2006	2007	\$1,500,000	\$ 12,329.64	\$ 1,487,670.36	\$ 4,800,000	11/30/2006
		3	9/6/2007	2008	\$1,250,000	\$ 8,549.92	\$ 1,241,450.08	\$ 3,550,000	9/7/2007
			12/28/2007			\$ 8,875.00	\$ (8,875.00)	\$ 3,550,000	
		4	3/31/2008		\$1,000,000	\$ 7,396.34	\$ 992,603.66	\$ 2,550,000	4/1/2008
8/28/2008	\$ 5,000,000							\$ 7,550,000	
<i>(Amendment 1)</i>		5	9/9/2008	2009	\$1,250,000	\$ 8,301.00	\$ 1,241,699.00	\$ 6,300,000	9/10/2008
			12/31/2008			\$ 15,750.00	\$ (15,750.00)	\$ 6,300,000	
		6	1/13/2009		\$1,000,000	\$ 7,387.00	\$ 992,613.00	\$ 5,300,000	1/14/2009
3/10/2009	\$ 1,000,000							\$ 6,300,000	
<i>(Amendment 2)</i>		7	11/12/2009	2010	\$2,000,000	\$ 21,968.33	\$ 1,978,031.67	\$ 4,300,000	11/13/2009
5/20/2010	\$ 5,000,000	8	5/20/2010		\$3,300,000	\$ 22,177.02	\$ 3,277,822.98	\$ 6,000,000	5/21/2010
<i>(Amendment 3)</i>								\$ 6,000,000	
9/1/2010	\$10,000,000	9	9/1/2010	2011	\$2,000,000	\$ 14,150.25	\$ 1,985,849.75	\$ 14,000,000	1/7/2011
<i>(Amendment 4)</i>		10	12/1/2010		\$2,000,000	\$ 29,380.71	\$ 1,970,619.29	\$ 12,000,000	6/17/2011
		11	2/9/2011		\$2,000,000	\$ 10,746.25	\$ 1,989,253.75	\$ 10,000,000	12/29/2011
			6/10/2011			\$ 12,700.00	\$ (12,700.00)	\$ 10,000,000	
		12	1/6/2012	2012	\$1,500,000	\$ 10,395.27	\$ 1,489,604.73	\$ 8,500,000	5/8/2012
			5/8/2012			\$ 12,700.00	\$ (12,700.00)	\$ 8,500,000	
		13	7/25/2012	2013	\$1,000,000	\$ 7,162.50	\$ 992,837.50	\$ 7,500,000	7/26/2012
		14	10/23/2012		\$1,000,000	\$ 7,051.75	\$ 992,948.25	\$ 6,500,000	10/24/2012
		15	1/22/2013		\$1,250,000	\$ 21,019.00	\$ 1,228,981.00	\$ 5,250,000	1/23/2013
		16	4/18/2013		\$1,000,000	\$ 7,071.05	\$ 992,928.95	\$ 4,250,000	5/1/2013
7/22/2013	\$10,000,000	17	7/18/2013	2014	\$1,500,000	\$ 9,362.71	\$ 1,490,637.29	\$ 12,750,000	9/13/2013
<i>(Amendment 5)</i>			7/30/2013			\$ 20,000.00	\$ (20,000.00)	\$ 12,750,000	
						\$ 22,061.25	\$ (22,061.25)	\$ 12,750,000	
		18	11/13/2013		\$1,250,000	\$ 8,565.67	\$ 1,241,434.33	\$ 11,500,000	12/20/2013
		19	4/3/2014		\$1,200,000	\$ 20,800.75	\$ 1,179,199.25	\$ 10,300,000	5/2/2014
		20	8/20/2014		\$1,200,000	\$ 8,036.47	\$ 1,191,963.53	\$ 9,100,000	8/8/2014
		21	12/18/2014		\$1,250,000	\$ 8,303.00	\$ 1,241,697.00	<b>\$ 7,850,000</b>	12/19/2014
	<b>\$ 38,300,000</b>				<b>\$ 30,450,000</b>	<b>\$ 400,664.36</b>	<b>\$ 30,049,335.64</b>		
	<b>\$ 46,300,000</b>				<b>\$ 38,450,000</b>	<b>\$ 570,408</b>	<b>\$ 35,379,592.12</b>		

As of June 30, 2015, a total of \$35,379,592.12 was generated for matching fund purposes by the issuance of these serial bonds. Bond issuance costs of \$570,408 were absorbed by bond proceeds and are, therefore, not required to be charged against the 4% administrative costs allowance in accordance with Drinking Water State Revolving Fund program guidelines. There is an available balance of \$7,850,000 on Series 2006 as amended. The \$2,450,000 of bonds issued in fiscal year 2015 was repaid before the end of the fiscal year leaving no bonds payable at June 30, 2015.

## **8. LITIGATION AND CLAIMS**

Losses arising from judgments, claims, and similar contingencies are paid through the state's self-insurance fund operated by the Office of Risk Management, the agency responsible for the state's risk management program, or by legislative appropriation. The DWRLF has no lawsuits outstanding at June 30, 2015.